Groups of automorphisms of rooted trees II

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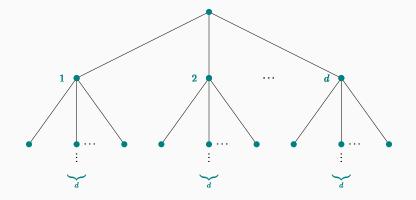
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Georg-August-Universität Göttingen

- 1. Previously, on "Groups of automorphisms of rooted trees I"
- 2. The Grigorchuk groups
- 3. The GGS-groups
- 4. The Basilica group
- 5. The Hanoi Tower group
- 6. Conclusions

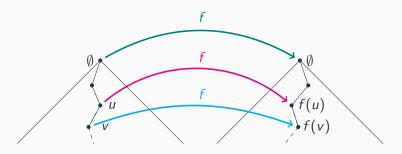
Previously, on "Groups of automorphisms of rooted trees I"

Regular rooted trees



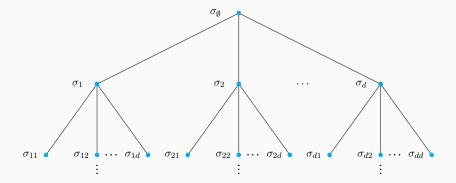
Automorphisms of \mathcal{T}_d

Bijections of the vertices that preserve incidence.



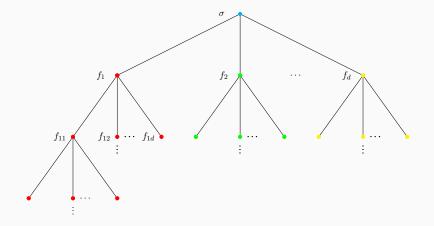
Describing elements of $\operatorname{Aut} \mathcal{T} I$

An automorphism $f \in \operatorname{Aut} \mathcal{T}_d$ can be represented by writing in each vertex v a permutation $\sigma_v \in \operatorname{Sym}(d)$ which represents the action of f on the descendants of v.



Describing elements of $\operatorname{Aut} \mathcal{T} \ II$

Let $f \in \operatorname{Aut} \mathcal{T}_d$ with $f = (f_1, f_2, \dots, f_d)a$, where $f_i \in \operatorname{Aut} \mathcal{T}_d$ and a is rooted corresponding to σ .



Self-similar groups

We define the isomorphism

$$\psi : \operatorname{st}(1) \longrightarrow \operatorname{Aut} \mathcal{T} \times \stackrel{d}{\cdots} \times \operatorname{Aut} \mathcal{T}$$

 $g \longmapsto (g_1, \dots, g_d).$

If $G \leq \operatorname{Aut} \mathcal{T}$

$$\psi_{\mathcal{G}} : \operatorname{st}_{\mathcal{G}}(1) \longrightarrow \operatorname{Aut} \mathcal{T} \times \stackrel{d}{\cdots} \times \operatorname{Aut} \mathcal{T}$$

 $g \longmapsto (g_1, \dots, g_d)$

Additionally, if G is self-similar,

$$\psi_G : \operatorname{st}_G(1) \longrightarrow G \times \stackrel{d}{\cdots} \times G$$

 $g \longmapsto (g_1, \dots, g_d).$

We denote $\psi=\psi_{{\cal G}},$ and sometimes, to define automorphisms we omit $\psi.$

(Regular) Branch groups

Recall that in Aut \mathcal{T}_d we have:

$$\operatorname{Aut} \mathcal{T}_d \times \stackrel{d}{\ldots} \times \operatorname{Aut} \mathcal{T}_d \cong \operatorname{st}(1) \le \operatorname{Aut} \mathcal{T}_d.$$
(1)

- Let $G \leq \operatorname{Aut} \mathcal{T}_d$ spherically transitive and self-similar.
- In this case it is too much to ask that (1) holds.
- We content ourselves if (1) holds for a (finite index) subgroup *K* of *G*:

$$K \times . \overset{d}{\ldots} \times K \leq K.$$

- If this is the case, then G is regular branch over K.
- If the index of K in G is infinite then G is *weakly* regular branch over K.

The Grigorchuk groups

The (first) Grigorchuk group

 $\Gamma = \langle a, b, c, d \rangle$ a = (1,1)(12) b = (a,c) c = (a,d) d = (1,b)b d b c d (12) (12)С b (12) b (12) d С (12)d b

The group Γ is an infinite 2-group \longrightarrow it is a counterexample to the General Burnside Problem (GBP).

- Proof that Γ is finitely generated: \checkmark
- Proof that Γ is infinite:
 - Idea: find a proper subgroup of Γ that projects surjectively onto Γ
 - Note that a ∉ st_Γ(1) (★)
 - Consider the map $\rho = \pi_1(\psi(\mathsf{st}_{\Gamma}(1)))$:

ρ

$$egin{aligned} &:\operatorname{st}_{\mathsf{\Gamma}}(1) o {\mathsf{\Gamma}} imes {\mathsf{\Gamma}} o {\mathsf{\Gamma}} \ & b o (a,c) o a \ & d^a o (b,1) o b \ & b^a o (c,a) o c \ & c^a o (d,a) o d \end{aligned}$$

• Then str(1) is onto $\Gamma(\star)$

- Proof that Γ is torsion:
 - First step: prove that $a^2 = b^2 = c^2 = d^2 = 1$.

•
$$a^2 = 1 \checkmark$$

- What about *b*, *c* and *d*?
- General case: ... more technical.

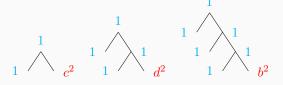
Proof that $b^2 = c^2 = d^2 = 1$

Let us prove that $b^2 = 1$. Recall that

$$a = (1,1)(12)$$
 $b = (a,c)$ $c = (a,d)$ $d = (1,b).$

• We have
$$b^2 = (a^2, c^2) = (1, c^2).$$

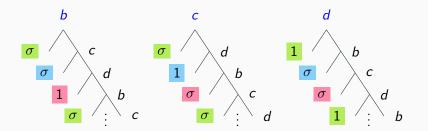
• Also
$$c^2 = (a^2, d^2) = (1, d^2)$$
 and $d^2 = (1, b^2)$.



- Then the only possibility is that $b^2 = 1$.
- As a consequence, $c^2 = d^2 = 1$.

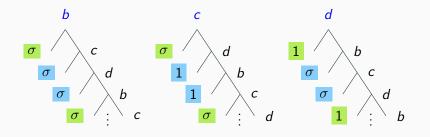
- It is a self-similar group.
- It is a torsion 2-group.
- It is just-infinite.
- It is a regular branch group over the subgroup $K = \langle (ab)^2 \rangle^{\Gamma}$.
- It has intermediate word growth.
- It is amenable but not elementary amenable.
- Many other exotic properties

Grigorchuk groups

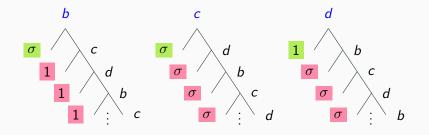


where $\sigma = (1 \ 2)$. Let **0**, **1**, **2** be the three non-trivial homomorphisms from $C_2 \times C_2 = \{1, b, c, d\}$ to $C_2 = \{1, \sigma\}$ such that: **0** : $b \mapsto \sigma$ **1** : $b \mapsto \sigma$ **2** : $b \mapsto 1$ $c \mapsto \sigma$ $c \mapsto 1$ $c \mapsto \sigma$ $d \mapsto 1$ $d \mapsto \sigma$ $d \mapsto \sigma$.

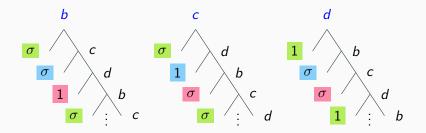
Example 1: **0**, **1**, **1**, **0**...



Example 2: **0**, **2**, **2**, **2**...



Grigorchuk groups



• Let $\Omega = \{0, 1, 2\}^{\infty}$ be the space of infinite sequences over letters $\{0, 1, 2\}$.

Given $\omega \in \Omega$ the Grigorchuk group is $G_{\omega} = \langle a, b_{\omega}, c_{\omega}, d_{\omega} \rangle$.

- The first Grigorchuk group corresponds to the periodic sequence $\omega = 012012...$
- If ω is eventually constant then G_{ω} is virtually abelian.
- Otherwise, G_{ω} is of intermediate growth.
- The group G_ω is periodic if and only if ω contains all three letters 0, 1, 2 infinitely often.

The GGS-groups

Let p be an odd prime and T_p the p-adic tree. The Grigorchuk-Gupta-Sidki group (GGS for short) is defined by

•
$$a = (1, \ldots, 1)(1 \ 2 \ldots \ p)$$

• $b = (a^{e_1}, a^{e_2}, \dots, a^{e_{p-1}}, b)$

where $\mathbf{e} = (e_1, \dots, e_{p-1}) \in (\mathbb{Z}/p\mathbb{Z})^{p-1}$ is its defining vector.

The group $G_e = \langle a, b \rangle$ is the GGS-group corresponding to the defining vector e.

A GGS-group is torsion if and only if $\sum_{i=1}^{p-1} e_i \equiv 0 \mod p$.

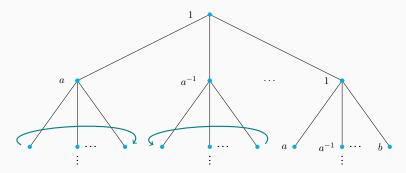
Note that any GGS-group is spherically transitive a self-similar group.

- If e is the constant vector, then G is weakly regular branch over ((ba⁻¹)^G)'.
- If **e** is non-constant then G is regular branch over $\gamma_3(G)$.
- Moreover, if the defining vector is non-symmetric (symmetric means that e_i = e_{p−i} for all i = 1,...p − 1) then G is regular branch over its derived subgroup G'.

A specific example: the Gupta-Sidki p-group

Let $\mathbf{e} = (1, -1, 0, \dots, 0)$. The Gupta-Sidki group $\mathcal{G} = \mathcal{G}_{(1,-1,0,\dots,0)}$ is generated by *a*, *b*, where

- $a = (1, ..., 1)(1 \ 2 \dots p)$
- $b = (a, a^{-1}, 1, \dots, 1, b)$



• Can you prove that this group is infinite and generated by

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Gupta-Sidki is regular branch over its derived subgroup

For simplicity, let $p \ge 5$.

• As $\mathcal{G} = \langle a, b \rangle$, then $\mathcal{G}' = \langle [a, b]^m \mid m \in \mathcal{G} \rangle$.

• Take

$$b = (a, a^{-1}, 1, \dots, 1, b)$$
 $b^a = (b, a, a^{-1}, 1, \dots, 1)$

• Then
$$[b, b^a] = ([a, b], 1, \dots, 1).$$

- Since G is *fractal*, for any h ∈ G there exists g ∈ st_G(1) such that g = (h, *, ..., *).
- Then $[b, b^a]^g = ([a, b]^h, 1, \dots, 1).$
- This implies that

 $\mathcal{G}' \times \{1\} \times \cdots \times \{1\} \subseteq \mathcal{G}' \cap \mathsf{st}_{\mathcal{G}}(1) = \mathsf{st}_{\mathcal{G}'}(1) \subseteq \mathcal{G}'.$

• For level transitivity we can have \mathcal{G}^\prime in each component.

...continue: do you remember the general "picture"?

$$\begin{array}{cccc} \mathcal{G} & \mathcal{G} \times .\overset{p.}{\ldots} \times \mathcal{G} \\ & & & & \\ & & & \\ \mathsf{st}_{\mathcal{G}}(1) \xrightarrow{\psi} \psi(\mathsf{st}_{\mathcal{G}}(1)) \\ & & & \\ \mathcal{G}' \xrightarrow{\psi} \psi(\mathcal{G}') \\ & & \\ & & \\ \mathcal{G}' \times .\overset{p.}{\ldots} \times \mathcal{G}' \end{array}$$

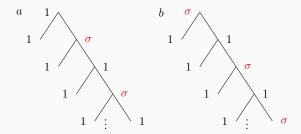
- Also, |G/G'| = p².
 (Can you prove it?)
- The Gupta-Sidki *p*-group *G* is regular branch over its derived subgroup *G'*.

Counterexamples to the General Burnside Problem (GBP):

- GGS-groups: $G = \langle a, b \rangle$, with a, b of order p, with p odd.
- What if *p* = 2?
- $G = \langle a, b \rangle$ is generated by elements of order 2.
- Either G is a <u>finite</u> dihedral group or the infinite dihedral group.
- In both cases G is not a counterexample to the GBP.
- Then if you want a group generated by elements of order 2, you must add generators: Γ = (a, b, c, d).

The Basilica group

Let \mathcal{T}_2 be the binary tree. Define *a* and *b* as follows:

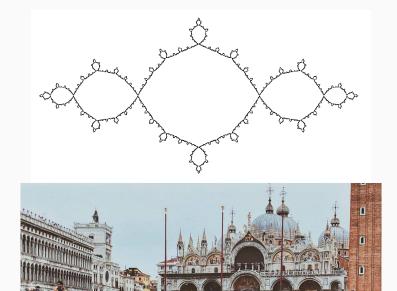


• Can you define a and b from their portraits above?

•
$$a = (,)\epsilon$$
 $b = (,)\sigma$ $a = (1, b)$ $b = (1, a)\sigma$

A curiosity about the name

First: the Basilica group is $B = \langle a, b \rangle$.



- It is torsion-free (Can you prove that *a* and *b* have infinite order?)
- It is weakly regular branch over its derived subgroup B'.
- It has exponential word growth.
- Basilica the first example of an amenable but not subexponentially amenable group.

The Hanoi Tower group

The Hanoi tower game

The tower of Hanoi was invented by a French mathematician Édouard Lucas in the 19th century.



- The goal: to move the entire stack to another peg.
- The <u>rules</u>:
 - One disk can be moved at a time;
 - Each move consists of taking the upper disk from one of the stacks and placing it on top of another or on an empty peg;
 - No disk may be placed on top of a smaller disk.

The Hanoi towers game

- Let 3 be the number of pegs, then consider X = {1,2,3}. A word in X is a configuration of the disks and the length of the word is the number of disks.
- Each number represents the peg in which the disk lie.
- We "read" from the smallest to the bigger disk.
- Example:

<mark>23112</mark>.

- The length of the word above is 6 \longrightarrow 6 disks.
- This means that the smaller disk is in the 2nd position, the second smaller disk is in the 3rd position, the third smaller disk is in the 1st position, and so on.

The Hanoi towers game II

• Other example: can you guess how to write the configuration below?



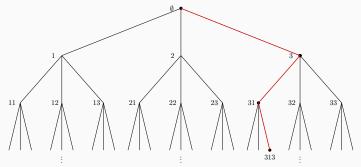
• The configuration is:

13112.

• Goal: to send 11...1 to 33...3.

The Hanoi towers game

• Configurations (sequences of length *n* of 1, 2, 3) can be seen as vertices on the *n*-th level in a rooted ternary tree.



• Any move takes one vertex on the *n*-th level on the tree to another vertex on the *n*-th level. Then each move can be thought of as an automorphism of the rooted ternary tree.

Move a:

- Search for the first time a 2 or 3 appears in the configuration
- Switch them
- Apply the identity
- This means that *a* does the only movement we are allowed to do between pegs 2 and 3
- Example: *a*(21322) = (31322).

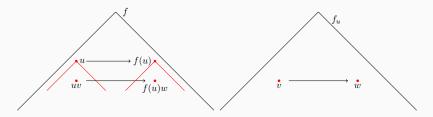
One can define elements a, b and c acting on the whole ternary tree.

$$\mathcal{H} = \langle a, b, c \rangle$$

where a = (a, 1, 1)(23), b = (1, b, 1)(13), c = (1, 1, c)(12)

Do you remember the section of an automorphism?

Let u be a vertex of \mathcal{T} , and $g \in \operatorname{Aut} \mathcal{T}$. We denote with g_u the section of g at the vertex u, that is the action of g on the subtree \mathcal{T}_u that hangs from the vertex u. If $f \in \operatorname{Aut} \mathcal{T}$ and u, v are vertices of the tree, we can define the section f_u by the formula $f(uv) = f(u)f_u(v)$.



Example: since a = (a, 1, 1)(23), we have

- $a(21322) = a(2)a_2(1322) = 31322$
- $a(1321) = a(1)a_1(321) = 1a(321) = 1a(3)a_3(211) = 1211$ ³²



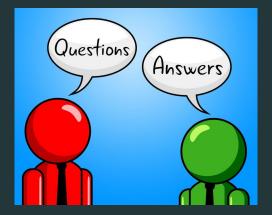
Conclusions

Groups of automorphisms of rooted trees play an important role in group theory. Some questions:

- What is your favourite group...? :)
- What is the word growth of the GGS-groups?
- Nice topic: study algorithmic problems in branch groups.
- Do there exist finitely presented branch groups?

References

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- [4] P. de la Harpe Topics in Geometric Group Theory Chicago Lectures in Mathematics (2000).



Thank you :) Stay safe!