

INTRODUCTION TO GROWTH IN GROUPS

PART II: FORMAL POWER SERIES

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WORD LENGTH

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LAST WEEK

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DEFINITION

The **strict growth function** $\sigma_{G,S}(n) = \#\{g \in G \mid |g|_S = n\}$,

and the **cumulative growth function** $\beta_{G,S}(n) = \#\{g \in G \mid |g|_S \leq n\}$.

CONJUGACY CLASSES

Define the length of a conjugacy class κ of G with respect to S to be the length of a shortest word representing κ :

$$|\kappa|_S = \min \{ |w| \mid w \in S^*, \bar{w} \in \kappa \} = \min \{ |g|_S \mid g \in \kappa \}$$

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DEFINITION

The **strict conjugacy growth function** $s_{G,S}(n) = \#\{\kappa \in \mathcal{C}_G \mid |\kappa|_S = n\}$,

and the **cumulative conjugacy growth function** $c_{G,S}(n) = \#\{\kappa \in \mathcal{C}_G \mid |\kappa|_S \leq n\}$.

STANDARD VS CONJUGACY GROWTH

	Standard	Conjugacy
Group invariant	Yes	Yes
Quasi-Isometry Invariant	Yes	No
Polynomial growth	n^d for $d \in \mathbb{N}$	“anything”

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- For any group G , $c_G(n) \preceq \beta_G(n)$.
- If G is abelian then $c_G(n) \sim \beta_G(n)$ (converse does not hold).

Suppose we have some growth function γ for a group G and generating set S .

GROWTH SERIES

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DEFINITION

The (standard/conjugacy/etc.) growth **series** of G with respect to S is the formal power series

$$\mathbb{S}(z) := \sum_{n=0}^{\infty} \gamma(n)z^n.$$

ALGEBRAIC COMPLEXITY

A formal power series $\mathbb{S}(z)$ is called

- **rational** if there exist polynomials P, Q with integer coefficients such that $\mathbb{S}(z) = \frac{P(z)}{Q(z)}$;
- **algebraic** if $\mathbb{S}(z)$ satisfies a polynomial equation with polynomial coefficients;
- **holonomic** (a.k.a. D -finite) if $\mathbb{S}(z)$ satisfies a finite order differential equation, with polynomial coefficients;
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Question: Into which classes do the various growth functions fall?

EXAMPLES

- Standard growth of F_2 , with respect to a basis: $\sigma(n) = 4 \cdot 3^{n-1}$ for $n \geq 1$

$$\mathbb{S}(z) = 1 + \sum_{n \geq 1} 4 \cdot 3^{n-1} z^n = 1 + \frac{4}{3} \sum_{n \geq 1} (3z)^n = \frac{1 - 2z}{1 - 3z}$$

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- Standard (and conjugacy) growth of \mathbb{Z}^2 with respect to $\{(1, 0), (0, 1)\}$: $\sigma(n) = 4n$ for $n \geq 1$

$$\mathbb{S}(z) = 1 + \sum_{n \geq 1} (4n)z^n = \frac{(1+z)^2}{(1-z)^2}$$

RATIONAL GROWTH

Rational growth reflects a strong 'regularity' property:

PROPOSITION

A series $S(z) = \sum \gamma(n)z^n \in \mathbb{Z}[[z]]$ is rational if and only if $\gamma(n)$ satisfies a linear recurrence relation: $\gamma(n) = a_1\gamma(n-1) + \cdots + a_k\gamma(n-k)$ for $a_i \in \mathbb{Q}$.

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PROOF BY EXAMPLE

Let $\gamma(0) = \gamma(1) = 1$ and $\gamma(n) = \gamma(n-1) + \gamma(n-2)$ for $n \geq 2$.

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$$\begin{aligned}\sum_{n=0}^{\infty} \gamma(n)z^n &= 1 + z + \sum_{n=2}^{\infty} (\gamma(n-1) + \gamma(n-2))z^n \\ &= 1 + z \sum_{n=0}^{\infty} \gamma(n)z^n + z^2 \sum_{n=0}^{\infty} \gamma(n)z^n \\ \sum_{n=0}^{\infty} \gamma(n)z^n &= \frac{1}{1 - z - z^2}\end{aligned}$$

FUN WITH GENERATING FUNCTIONS

Product formula: For any functions f, g , we have:

$$\sum_{n=0}^{\infty} f(n)z^n \cdot \sum_{n=0}^{\infty} g(n)z^n = \sum_{n=0}^{\infty} \sum_{k=0}^n f(k)g(n-k)z^n.$$

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Set $f(n) = 1$ and $g(n) = \sigma(n)$, the strict growth function:

$$\frac{1}{1-z} \sum_{n=0}^{\infty} \sigma(n)z^n = \sum_{n=0}^{\infty} \sum_{k=0}^n \sigma(n-k)z^n = \sum_{n=0}^{\infty} \beta(n)z^n.$$

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PROPOSITION

The algebraic complexity of the cumulative (conjugacy) growth series is the same as that of the strict (conjugacy) growth series.

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Otherwise, can show the growth is at most polynomial (hint: use the product formula).

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COROLLARY

If G has intermediate (conjugacy) growth, it cannot have rational (conjugacy) growth series.

COMBINATION THEOREMS

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THEOREM

Direct product:

$$\mathbb{S}_{G \times H, S \cup T}(z) = \mathbb{S}_{G, S}(z) \cdot \mathbb{S}_{H, T}(z)$$

Free product:

$$\frac{1}{\mathbb{S}_{G * H, S \cup T}(z)} = \frac{1}{\mathbb{S}_{G, S}(z)} + \frac{1}{\mathbb{S}_{H, T}(z)} - 1$$

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In particular, if G and H have rational growth series, then so do $G \times H$ and $G * H$.

DECISION PROBLEMS

Max Dehn, 1912.

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COROLLARY

If G has insoluble word (conjugacy) problem then it has non-holonomic standard (conjugacy) growth.

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THEOREM (STOLL, 1996)

The higher Heisenberg groups H_r have **rational** standard growth series with respect to one choice of generating set and **transcendental** with respect to another.

$$H_2 = \left\{ \left(\begin{array}{cccc} 1 & a & b & c \\ 0 & 1 & 0 & d \\ 0 & 0 & 1 & e \\ 0 & 0 & 0 & 1 \end{array} \right) \mid a, b, c, d, e \in \mathbb{Z} \right\}$$

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Proof makes use of combination theorems about 'central products'.

WHAT DO WE KNOW?

In some cases the behaviour is known to be independent of the generators:

	Standard Growth Series	Conjugacy Growth Series
Hyperbolic	Rational (Cannon 1984*)	Transcendental (Antolín-Ciobanu 2017)
Virtually abelian	Rational (Benson 1983)	Rational (E. 2019)
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Rational standard growth for **some** generators:

- some automatic groups (Epstein et al 1992),
- soluble Baumslag-Solitar groups $BS(1, k)$ (Collins-Edjvet-Gill 1994),
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Transcendental conjugacy growth for **some** generators:

- soluble Baumslag-Solitar groups (Ciobanu-E.-Ho 2020),
- some wreath products (Mercier 2016).

REGULAR LANGUAGES

A language $L \subset S^*$ is called **regular** if it is accepted by a **finite state automaton** (a directed, S -labelled graph with nominated start and accept states).

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- The growth series is then

$$\sum_{n \geq 0} (s^T M^n a) z^n = s^T \left(\sum_{n \geq 0} (Mz)^n \right) a = s^T (I - Mz)^{-1} a.$$



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So if we can find a language of geodesic representatives for the elements of G , then the **growth series** is rational.

EXAMPLE

The language of geodesics in F_2 with respect to a basis is regular.

THEOREM (CANNON)

For a hyperbolic group, with any choice of finite generating set, the language of **all** geodesics is regular.

HYPERBOLIC GROUPS - STANDARD GROWTH

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The standard growth series is rational, with respect to any choice of finite generating set (using a modified counting argument).

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This is a consequence of the **geometry**.

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For any finite generating set, there exist constants A, B, ρ , such that

$$A \frac{e^{\rho n}}{n} \leq c_{G,S}(n) \leq B \frac{e^{\rho n}}{n}.$$

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No algebraic series can have these asymptotics (via an analytic combinatorics result of Flajolet).

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Patterns and polyhedral sets

Partition S^* into pieces (aka patterns) that behave like subsets of \mathbb{N}^r . Reduce to sets of representatives which are 'polyhedral'. Precise form depends on structure of S^* , but they produce rational growth series in each case.

HIGHER HEISENBERG GROUPS

DEFINITION

For any positive integer r , define the (higher) Heisenberg group H_r as follows:

$$H_r = \left\langle a_1, b_1, a_2, b_2, \dots, a_r, b_r \mid \begin{array}{l} [a_i, a_j] = [a_i, b_j] = [b_i, b_j] = 1 \quad \forall i \neq j \\ [a_i, b_i] = [a_j, b_j] \quad \forall i \neq j \\ [[a_i, b_i], a_j] = [[a_i, b_i], b_j] = 1 \quad \forall i, j \end{array} \right\rangle.$$

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$$H_2 = \left\{ \begin{pmatrix} 1 & a & b & c \\ 0 & 1 & 0 & d \\ 0 & 0 & 1 & e \\ 0 & 0 & 0 & 1 \end{pmatrix} \mid a, b, c, d, e \in \mathbb{Z} \right\}$$

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$$c_{H_r}(n) \sim \begin{cases} n^2 \log n & r = 1 \\ n^{2r} & r \geq 2 \end{cases}.$$

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COROLLARY

The conjugacy growth series of H_1 is **non-holonomic**.

CASE $r = 1$

$$H_1 = \langle a, b \mid [[a, b], a] = [[a, b], b] = 1 \rangle$$

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- Normal form $\{a^i b^j c^k \mid i, j, k \in \mathbb{Z}\}$
- Conjugating:

$$aa^i b^j c^k a^{-1} = a^i b^j c^{k+j}, \quad ba^i b^j c^k b^{-1} = a^i b^j c^{k-i}$$

and so $[a^i b^j c^k] = a^i b^j c^k \langle c^{\gcd(i,j)} \rangle$.

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- $a^{i-1} b^K a b^{j-K}$ has length $i + j$ and represents the element $a^i b^j c^{-K}$, and hence the conjugacy class $[a^i b^j c^k]$.

LENGTH OF A CONJUGACY CLASS

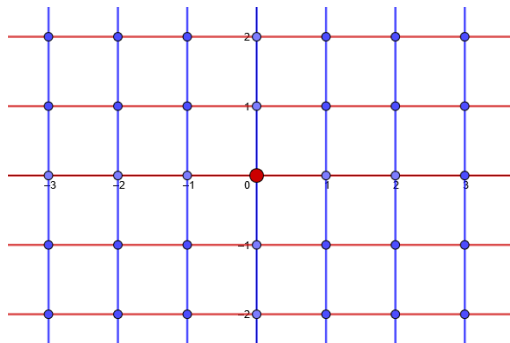
Claim: $[a^i b^j c^k] = a^i b^j c^k \langle c^{\gcd(i,j)} \rangle$ has length $|i| + |j|$.

Proof:

- Any element $a^i b^j c^k$ has length at least $|i| + |j|$.
- Assume $i, j > 0$. There exists $0 \leq K < \gcd(i, j)$ with $a^i b^j c^{-K} \in [a^i b^j c^k]$.
- $a^{i-1} b^K a b^{j-K}$ has length $i + j$ and represents the element $a^i b^j c^{-K}$, and hence the conjugacy class $[a^i b^j c^k]$.

So at each point (i, j) , there are exactly $\gcd(i, j)$ many conjugacy classes, all of length $|i| + |j|$ *

ABELIANISATION



Conjugacy growth:

$$\begin{aligned}c(n) &\sim \beta_{\text{Ab}(H_1)}(n) \cdot (\text{'expected value' of } \gcd(i, j) \text{ if } |i| + |j| \leq n) \\ &\sim n^2 \log n\end{aligned}$$

NON-HOLONOMIC GROWTH SERIES

COROLLARY

The conjugacy growth series of H_1 is **non-holonomic**.

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Question: What about the conjugacy growth series of H_r in general?

- Find more examples where the growth series behaviour is **independent** of the choice of generating set.





OPEN PROBLEMS

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- Find more examples where the growth series behaviour is **independent** of the choice of generating set.
- Find more examples where the growth series behaviour **depends** on the choice of generating set.
- **Conjecture:** A finitely presented group has rational **conjugacy** growth series if and only if it is virtually abelian.

FURTHER READING

-  M. Clay and D. Margalit (eds.), *Office hours with a geometric group theorist*, Princeton University Press, Princeton, NJ, 2017. MR 3645425
-  M. Duchin, *Counting in groups: Fine asymptotic geometry*, Notices of the AMS **63** (2016), no. 8, 871–874.
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-  M. Stoll, *Rational and transcendental growth series for the higher Heisenberg groups*, Invent. Math. **126** (1996), no. 1, 85–109. MR 1408557