

Algebraic Geometry over the Additive Monoid of Natural Numbers

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The Philosophic Problem

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V. Remeslennikov: The algebraic geometry over \mathbb{N} is only an exercise before the geometry over free monoids.

Outline

- 1 Preliminaries
- 2 Coefficient-free equations
- 3 Systems with Coefficients
- 4 Generalizations

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- $\forall x \forall y \forall z (x + y) + z = x + (y + z);$

- $\forall x x + 0 = 0 + x = x;$

- $\forall x \forall y x + y = y + x;$

and the obvious axioms with constant symbols:

- $c_{a_i} \neq c_{a_j}, i \neq j;$

- $c_{a_i} + c_{a_j} = c_{a_i + a_j};$

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- An \mathcal{L}_A -structure (a model of the language \mathcal{L}_A) M is said to be an A -monoid if M satisfies all formulas above. In other words, A -monoid is a monoid with a fixed submonoid isomorphic to A .

Systems of Equations

- An atomic \mathcal{L}_A -formula $t(\bar{x}) = s(\bar{x})$ is called an *equation* over A (A -equation for short). An A -equation is said to be coefficient-free if it does not contain constant symbols. Remind that all 0-equations ($A = \{0\}$) are coefficient-free.
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- A system of equations \mathcal{S} over A is an arbitrary set of A -equations.
- Clearly, each A -equation has an equivalent form

$$\sum_{i \in I} \gamma_i x_i + a = \sum_{j \in J} \gamma_j x_j + a',$$

where $a, a' \in A$.

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We chose the most famous commutative monoid \mathbb{N} (the additive monoid of natural numbers) and studied its algebraic geometry (further $B = \mathbb{N}$). Below we generalize our results for \mathbb{N} to a wide class of commutative monoids.

- A set $Y \subseteq \mathbb{N}^n$ is called *algebraic over \mathbb{N}* if there exists a system of equations with $Y = V_{\mathbb{N}}(\mathcal{S})$.

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- The radical of Y divides the set of \mathcal{L}_A -terms into equivalence classes. Indeed, two \mathcal{L}_A -terms $t(\bar{x}), s(\bar{x})$ are equivalent iff $t(\bar{y}) = s(\bar{y})$ for all $y \in Y$. It is easy to prove that equivalence relation preserves the operation $+$, thus $\text{Rad}_{\mathbb{N}}(Y)$ defines the congruence θ_{Rad} .

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The quotient monoid $\Gamma_A(Y) = \mathbb{T}_{\mathcal{L}_{\mathbb{N}}}(X) / \theta_{\text{Rad}_B(Y)}$, where $\mathbb{T}_{\mathcal{L}_{\mathbb{N}}}(X)$ is a set of all \mathcal{L}_A -terms, is called the *coordinate A -monoid* of Y . The operation $+$ over $\Gamma_A(Y)$ is defined by

$$[t(\bar{x})] + [s(\bar{x})] = [s(\bar{x}) + t(\bar{x})],$$

where $[t(\bar{x})]$ is the equivalence class of $t(\bar{x})$.

Main Aims of Algebraic Geometry

The main goal of algebraic geometry can be considered as a classification of

- 1 algebraic sets;
- 2 radicals;
- 3 coordinate monoids;

Fact. The monoid \mathbb{N} is A -equationally Noetherian, i.e. for each infinite system of A -equations \mathcal{S} which depends on a finite set of variables x_1, \dots, x_n there exists a finite subsystem $\mathcal{S}_0 \subseteq \mathcal{S}$ such that $V_{\mathbb{N}}(\mathcal{S}) = V_{\mathbb{N}}(\mathcal{S}_0)$.

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- A *quasi-identity* is a universal formula, where $\Phi(\bar{x}) = (t_1(\bar{x}) = s_1(\bar{x})) \wedge \dots \wedge (t_m(\bar{x}) = s_m(\bar{x})) \rightarrow (t(\bar{x}) = s(\bar{x}))$.

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- the letter 'D' means 'E. Daniyarova';
- the letter 'M' means 'A. Myasnikov';
- the letter 'R' means 'V. Remeslennikov'.

The First Unification Theorem

Theorem (DMR)

Suppose C is an A -monoid and C is finitely generated over A . Then the following conditions are equivalent:

- 1) C is a coordinate monoid of an algebraic set over \mathbb{N} , and this set is defined by a system of A -equations.*
- 2) C is A -separated by \mathbb{N} . In other words, for an arbitrary elements c_1, c_2 , $c_1 \neq c_2$ there exists a A -homomorphism $\varphi: C \rightarrow \mathbb{N}$ such that $\varphi(c_1) \neq \varphi(c_2)$.*
- 3) $C \in \text{qvar}_A(\mathbb{N})$, i.e. each \mathcal{L}_A -quasi-identity which is true in \mathbb{N} holds in C .*

The Second Unification Theorem

Theorem (DMR)

Suppose C is an A -monoid and C is finitely generated over A . Then the following conditions are equivalent:

- 1) C is a irreducible coordinate monoid of an algebraic set over \mathbb{N} , and this set is defined by a system of A -equations.*
- 2) C is A -discriminated by \mathbb{N} . In other words, for an arbitrary elements c_1, \dots, c_k , $c_i \neq c_j$ there exists a A -homomorphism $\varphi: C \rightarrow \mathbb{N}$ such that $\varphi(c_i) \neq \varphi(c_j)$.*
- 3) $C \in \text{ucl}_A(\mathbb{N})$, i.e. each \mathcal{L}_A -universal formula which is true in \mathbb{N} holds in C .*

Comparing with the Group \mathbb{Z}

Theorem (a corollary from MR)

All coordinate groups over \mathbb{Z} are the direct products \mathbb{Z}^n and irreducible.

Coefficient-free equations

Positive property

In this subsection $A = \{0\}$.

A commutative monoid is called *positive*, if the following quasi-identity

$$\forall x \forall y (x + y = 0) \rightarrow (x = 0).$$

holds. In other words, the sum of two nonzero elements of positive monoid is not a zero.

Obviously, M is positive iff the set $M \setminus \{0\}$ is a semigroup.

Classification of Coordinate Monoids in Coefficient-Free Case

Theorem

A finitely generated monoid M is a coordinate monoid of an algebraic set Y over \mathbb{N} , where Y is defined by coefficient-free equations, iff M is commutative positive and with cancellation property ($\forall x \forall y \forall z (x + z = y + z) \rightarrow (x = y)$).

Theorem

All algebraic sets over \mathbb{N} defined by coefficient-free systems are irreducible.

Model-Theoretic Corollary

The classes $\text{qvar}_0(\mathbb{N})$, $\text{ucl}_0(\mathbb{N})$ are equal and axiomatizable by the following \mathcal{L} -formulas

- 1 $\forall x \forall y \forall z (x + y) + z = x + (y + z)$;
- 2 $\forall x x + 0 = 0 + x = x$;
- 3 $\forall x \forall y x + y = y + x$;
- 4 $\forall x \forall y \forall z x + z = y + z \rightarrow x = y$ (cancellation property);
- 5 $\forall x \forall y x + y = 0 \rightarrow x = 0$ (positive property).

Geometrical Equivalence

- 1 Monoids M_1, M_2 are called *geometrical equivalent* if $\text{Rad}_{M_1}(\mathcal{S}) = \text{Rad}_{M_2}(\mathcal{S})$ for every system \mathcal{S} .
- 2 By definition, the geometrical equivalent monoids have the same set of coordinate monoids. Therefore, the obtained results for \mathbb{N} can be applied to the wide class of commutative monoids.

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Theorem

Each nontrivial commutative positive monoid with cancellation property M is geometrical equivalent to \mathbb{N} . Moreover, all algebraic sets over M are irreducible, thus M is universal equivalent to \mathbb{N} .

Systems with Coefficients

Irreducible Coordinate Monoids

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The \mathbb{N} -positive property for \mathbb{N} is written by the series of \mathbb{N} -formulas ($\alpha \in \mathbb{N}$)

$$\varphi_\alpha = \forall x \forall y (x + y = \alpha) \rightarrow ((x = 0) \vee (x = 1) \vee (x = 2) \vee \dots \vee (x = \alpha)).$$

\mathbb{N} -monoid M is \mathbb{N} -positive iff the set $M \setminus \mathbb{N}$ is a semigroup.

\mathbb{N} is obviously \mathbb{N} -positive.

Theorem

Suppose \mathbb{N} -monoid M is coordinate monoid of an algebraic set over \mathbb{N} . Then M is irreducible iff M is \mathbb{N} -positive.

Reducible Sets. They really exist.

There are reducible sets over \mathbb{N} defined by systems with coefficient. For example, the solution of $x + y = 1$ is represented by the union $(0, 1) \cup (1, 0)$.

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Below we find necessary and sufficient conditions for an \mathbb{N} -monoid M to be coordinate over \mathbb{N} . First Unification Theorem made us to seek the set of quasi-identities Q such that

- 1 if an \mathbb{N} -monoid $M \models Q$ then the set of \mathbb{N} -homomorphisms $\text{Hom}_{\mathbb{N}}(M, \mathbb{N})$ is not empty;
- 2 if an \mathbb{N} -monoid $M \models Q$ then \mathbb{N} \mathbb{N} -separates M .

Congruent Closure

Let S be a set of atomic \mathcal{L}_A -formulas. The congruent closure $[S] \supseteq S$ is a minimal set with the properties

- If $t(\bar{x}) = s(\bar{x}) \in S$, then $t(\bar{x}) = t(\bar{x}) \in [S]$ and $s(\bar{x}) = s(\bar{x}) \in [S]$.
- If $t(\bar{x}) = s(\bar{x}) \in S$, then $s(\bar{x}) = t(\bar{x}) \in [S]$.
- If $t(\bar{x}) = s(\bar{x}), s(\bar{x}) = u(\bar{x}) \in S$, then $s(\bar{x}) = u(\bar{x}) \in [S]$.
- If $t_1(\bar{x}) = s_1(\bar{x}), t_2(\bar{x}) = s_2(\bar{x}) \in S$, then $t_1(\bar{x}) + t_2(\bar{x}) = s_1(\bar{x}) + s_2(\bar{x}) \in [S]$.

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- If $t(\bar{x}) = s(\bar{x}), s(\bar{x}) = u(\bar{x}) \in S$, then $s(\bar{x}) = u(\bar{x}) \in [S]$.
- If $t_1(\bar{x}) = s_1(\bar{x}), t_2(\bar{x}) = s_2(\bar{x}) \in S$, then $t_1(\bar{x}) + t_2(\bar{x}) = s_1(\bar{x}) + s_2(\bar{x}) \in [S]$.

The congruent closure of a system of equations contains only elementary corollaries of this system. By definition, $[S] \subseteq \text{Rad}_{\mathbb{N}}(S)$.

Radicals and Congruent Closures of \mathbb{N} -equations

If an \mathbb{N} -equation $t(\bar{x}) = s(\bar{x})$ has not a form $t'(\bar{x}) = n$ the radical $\text{Rad}_{\mathbb{N}}(t(\bar{x}) = s(\bar{x}))$ is equal to the congruent closure. The radical of an equation $t(\bar{x}) = n$ often strictly contains the congruent closure of this equation.

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For example, consider the equation $4x + 3y + 7z = 7$ which has only two solutions $(0, 0, 1), (1, 1, 0)$. The radical of this equation is generated by $4x + 3y + 7z = 7$ and $x = y$ and therefore it is not equal to congruent closure of $4x + 3y + 7z = 7$.

In other words, the equation $4x + 3y + 7z = 7$ implies $x = y$, thus the quasi-identity

$$\forall x \forall y \forall z (4x + 3y + 7z = 7) \rightarrow (x = y)$$

must be true in every coordinate \mathbb{N} -monoid over \mathbb{N} .

Quasi-identities \mathcal{Q}

- Suppose an \mathbb{N} -equation $t(\bar{x}) = s(\bar{x})$ is unsolvable over \mathbb{Z} . Then we write a quasi-identity $\forall \bar{x} (t(\bar{x}) = s(\bar{x})) \rightarrow (0 = 1)$.

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- Suppose an \mathbb{N} -equation of a form $t(\bar{x}) = n$, and it is unsolvable over \mathbb{N} . Then we write a quasi-identity $\forall \bar{x} (t(\bar{x}) = n) \rightarrow (0 = 1)$.

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- Suppose the equations eq_1, \dots, eq_l generate the radical of an equation $t(\bar{x}) = n$. Then we write the quasi-identities
 - $\forall \bar{x} (t(\bar{x}) = n) \rightarrow eq_1,$
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 - $\forall \bar{x} (t(\bar{x}) = n) \rightarrow eq_1,$
 - \dots
 - $\forall \bar{x} (t(\bar{x}) = n) \rightarrow eq_l,$

Theorem

A commutative \mathbb{N} -monoid with cancellation property M is a coordinate monoid of a nonempty algebraic set over \mathbb{N} iff all quasi-identities \mathcal{Q} hold in M .

Unions of Algebraic Sets

Suppose Y_1, \dots, Y_n are algebraic irreducible sets and each Y_i does not contain in the union of $\bigcup_{j \neq i} Y_j$.

Further we find a criterion of the set $Y_1 \cup \dots \cup Y_n$ to be algebraic.

Theorem

Suppose $Y_1 \cup \dots \cup Y_n$ is algebraic. Then Y_1, \dots, Y_n can be obtained as a parallel shift of the set Y_0 via vectors with natural coordinates, where Y_0 is algebraic and defined by a system of coefficient-free equations. (Necessary condition)

A variable x of a system \mathcal{S} is called *fixed* if $x = n \in \text{Rad}_{\mathbb{N}}(\mathcal{S})$.

Theorem

Suppose systems $\mathcal{S}_1, \dots, \mathcal{S}_n$ depend on variables x_1, \dots, x_m , and let the union of the solutions of $\mathcal{S}_1, \dots, \mathcal{S}_n$ be algebraic. Then all systems have the same nonempty set of fixed coordinates. (Necessary condition)

Criterion

Theorem

The union of algebraic sets Y_1, \dots, Y_n is an algebraic set iff

- 1 there exist systems of a form

$$\mathcal{S}_1 = \begin{cases} x_1 = \alpha_{11}, \\ \dots \\ x_l = \alpha_{1l}, \\ t_1(\bar{y}) + \beta_{11} = s_1(\bar{y}), \\ \dots \\ t_m(\bar{y}) + \beta_{1m} = s_m(\bar{y}), \end{cases} \quad \dots \quad \mathcal{S}_n = \begin{cases} x_1 = \alpha_{n1}, \\ \dots \\ x_l = \alpha_{nl}, \\ t_1(\bar{y}) + \beta_{n1} = s_1(\bar{y}), \\ \dots \\ t_m(\bar{y}) + \beta_{nm} = s_m(\bar{y}) \end{cases}$$

such that $V_{\mathbb{N}}(\mathcal{S}_i) = Y_i$

- 2 The union of the solutions of the subsystems with variables x_j is an algebraic set.
- 3 $rk(A|e|B) = rk(A|B)$ (over the field \mathbb{R}), where $A = (\alpha_{ij})$ is a $n \times l$ -matrix, $B = (\beta_{ij})$ is a $n \times m$ -matrix, and e is a column of 1.



Generalizations

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Suppose A, B are commutative positive monoids and $A \subseteq B$.

Theorem

Let M be a commutative A -positive monoid with cancellation property. Suppose the set of A -homomorphisms $\text{Hom}_A(M, B)$ is not empty. Then M is irreducible coordinate monoid of an algebraic set over B .

Corollary

Let A -positive monoid M be a coordinate monoid of a nonempty algebraic set over B . Then M is irreducible.

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Remind that the theorem and corollary above contain only the necessary condition. Indeed, if $B = A = \mathbb{R}^+$ and it is easy to prove that all algebraic sets over \mathbb{R}^+ are irreducible. Moreover, there is not a universal formula which expresses \mathbb{R}^+ -positiveness property.