

Groups that do and do not have growing context-sensitive word problem

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Abstract

We prove that a group has word problem that is a growing context-sensitive language precisely if its word problem can be solved using a non-deterministic Cannon's algorithm (the deterministic algorithms being defined by Goodman and Shapiro in [6]). We generalise results of [6] to find many examples of groups not admitting non-deterministic Cannon's algorithms. This adds to the examples of Kambites and Otto in [7] of groups separating context-sensitive and growing context-sensitive word problems, and provides a new language-theoretic separation result.

1 Introduction

The purpose of this note is to extend the results in Sections 6 and 7 of [6]. That article described a linear time algorithm, which we call *Cannon's algorithm*, which generalised Dehn's algorithm for solving the word problem of a word-hyperbolic group. Many examples of groups that possess such an algorithm were provided, alongside proofs that various other groups do not.

The Cannon's algorithms described in [6] are deterministic, but there was some brief discussion at the end of Section 1.3 of [6] of non-deterministic generalisations, and the close connections between groups with non-deterministic Cannon's algorithms and those with *growing context-sensitive* word problem. (Non-deterministic Cannon's algorithms and growing context-sensitive languages are defined in Section 2 below.) It was clear that an appropriate modification of the theorems in Section 7 of [6] should imply that various groups, including direct products $F_m \times F_n$ of free groups with $m > 1$ and $n \geq 1$ and other examples mentioned below, had word problems that were context-sensitive but not growing context-sensitive. We provide that modification in this paper.

Our examples are not the first to separate context-sensitive and growing context-sensitive word problem. For $F_m \times F_n$ with $m, n > 1$ has recently been proved context-sensitive but not growing context-sensitive by Kambites and Otto in [7], using somewhat different methods; they failed to resolve this question for $F_m \times F_1 = F_m \times \mathbb{Z}$ with $m > 1$, which is now covered by our result. And our result has further application, since, according to [7, Section 7], the fact that $F_2 \times F_1$ does not have growing context sensitive word problem implies that the class of growing context-sensitive languages is properly contained in the language class $\mathcal{L}(\text{OW-auxPDA}(\text{poly}, \log))$. We refer the reader to [7] for a definition of this class, and for citations.

In Section 2 of this article, we provide definitions of the classes of context-sensitive and growing context-sensitive languages, and of non-deterministic Cannon's algorithms. We then show in Theorem 3 that the set of formal languages defined by non-deterministic Cannon's algorithms is the same as the set of growing context-sensitive languages that contain the empty word. Hence the word problem for a group can be solved using a non-deterministic Cannon's algorithm precisely if it is a growing context-sensitive language.

Two different versions of Cannon's algorithms, known as *incremental* and *non-incremental*, are defined in [6], and both of these are deterministic. In [6, Proposition 2.3] it is shown that the language of an incremental Cannon's algorithm is also the language of a non-incremental Cannon's algorithm. In [7, Theorem 3.7], it is shown that the class of languages defined by non-incremental Cannon's algorithms is exactly the class of Church-Rosser languages. It is pointed out in [7] (following Theorems 2.5 and 2.6) that the class of Church-Rosser languages is contained in the class of growing context-sensitive languages. It follows that every group with an incremental or non-incremental Cannon's algorithm also has a non-deterministic Cannon's algorithm. (This is not obvious, because replacing a deterministic algorithm with a non-deterministic algorithm with the same reduction rules could conceivably result in extra words reducing to the empty word.) In particular, all of the examples shown in [6] to have (incremental) Cannon's algorithms have growing context-sensitive word problem.

It follows from the (known) fact that the class of growing context-sensitive languages is closed under inverse homomorphism that the property of a finitely generated group having growing context-sensitive word problem does not depend on the choice of finite semigroup generating set of G . Some other closure properties of the class of growing context-sensitive groups are mentioned at the end of Section 2.

In Section 3, we generalise Theorems 7.1 and 7.2 of [6] to prove that there does not exist a non-deterministic Cannon's algorithm for a group satisfying the hypotheses of those theorems; that is, we prove the following.

Theorem 1 *Let G be a group that is generated as a semigroup by the finite set \mathcal{G} , and suppose that, for each $n \geq 0$, there are sets $S_1(n), S_2(n)$ in G satisfying*

- (1) *each element of $S_i(n)$ can be represented by a word over \mathcal{G} of length at most n ,*
- (2) *there are constants $\alpha_0 > 0, \alpha_1 > 1$ such that for infinitely many n , $|S_i(n)| \geq \alpha_0 \alpha_1^n$, and*
- (3) *each element of $S_1(n)$ commutes with each element of $S_2(n)$.*

Then G cannot have a non-deterministic Cannon's algorithm over any finite semigroup generating set.

Theorem 2 *Let G be a group that is generated as a semigroup by the finite set \mathcal{G} , and suppose that, for each $n \geq 0$, there are sets $S_1(n), S_2(n)$ in G satisfying*

- (1) *each element of $S_i(n)$ can be represented by a word over \mathcal{G} of length at most n ,*
- (2) *there are constants $\alpha_0 > 0, \alpha_1 > 1, \alpha_2 > 0$ such that for all sufficiently large n , $|S_1(n)| \geq \alpha_0 \alpha_1^n$ and $|S_2(n)| \geq \alpha_2 n$, and*
- (3) *each element of $S_1(n)$ commutes with each element of $S_2(n)$.*

Then G cannot have a non-deterministic Cannon's algorithm over any finite semigroup generating set.

As a corollary we see that a group G satisfying the conditions of Theorem 1 or 2 cannot have word problem that is a growing context-sensitive language.

Theorems 7.1 and 7.2 of [6] are followed by a number of further theorems and corollaries (numbered 7.3 – 7.12), which provide a wide variety of examples of groups that satisfy the criteria of Theorems 7.1 or 7.2 and hence have no deterministic Cannon's algorithm. These examples include $F_2 \times \mathbb{Z}$, braid groups on three or more strands, Thompson's group F , Baumslag-Solitar groups $\langle a, t, | ta^p t^{-1} = a^q \rangle$ with $p \neq \pm q$, and the fundamental groups of various types of closed 3-manifolds. We can conclude immediately from our Theorems 1 or 2 that none of these examples have non-deterministic Cannon's algorithms or growing context sensitive word problems.

Note that a number of these examples, such as $F_2 \times \mathbb{Z}$ and braid groups [5], are known to be automatic and hence to have context-sensitive word problem [8].

On the other hand the article [6] gives a wealth of examples of groups that do have Cannon's algorithms, and hence have growing context-sensitive word problems. These include word-hyperbolic, nilpotent and many relatively hyperbolic groups.

We would like to acknowledge the contribution of Oliver Goodman, many of whose ideas are visible in the arguments of this paper.

2 Growing context-sensitive languages and non-deterministic Cannon's algorithms

We start with the necessary definitions. A *phrase-structured grammar* is a quadruple $(N, X, \sigma, \mathcal{P})$, where N and X are finite sets known respectively as the *non-terminals* and *terminals*, $\sigma \in N$ is the *start symbol*, and \mathcal{P} is the set of *productions*. The productions have the form $u \rightarrow v$ with $u \in \mathbb{A}^+ \setminus X^+$ and $v \in \mathbb{A}^*$, where $\mathbb{A} := N \cup X$.

The grammar is *context-sensitive* if $|u| \leq |v|$ for all productions $u \rightarrow v$. It is *growing context-sensitive* if, in addition, for all productions $u \rightarrow v$, σ does not occur in v and either $u = \sigma$ or $|u| < |v|$.

As is customary, to allow for the possibility of having the empty word ϵ in a (growing) context-sensitive language (defined below), we also allow there to be a production $\sigma \rightarrow \epsilon$, provided that σ does not occur in the right hand side of any production.

For $u, v \in \mathbb{A}^*$, we write $u \rightarrow^* v$ if we can derive v from u by applying a finite sequence of productions to the substrings of u . The *language* of the grammar is the set of words $w \in X^*$ with $\sigma \rightarrow^* w$. A *growing context-sensitive language* (GCSL) is a language defined by a growing context-sensitive grammar (GCSG).

There is some information on this class of languages in [1]. Other possibly relevant references are [3] and [4]. It is proved in [2] that the GCSLs form an *abstract family of languages* which implies, in particular, that they are closed under inverse homomorphisms and intersection with regular languages. This in turn implies that the property of the word problem of a finitely generated group G being a GCSL is independent of the choice of finite generating set for G , and that this property is inherited by finitely generated subgroups of

G .

A *non-deterministic Cannon's Algorithm* (NCA) is defined to be a triple $(X, \mathbb{A}, \mathcal{R})$, where $X \subseteq \mathbb{A}$ are finite alphabets, and \mathcal{R} is a set of rules of the form $v \rightarrow u$ with $u, v \in A^*$ and $|v| > |u|$. For a non-deterministic Cannon's Algorithm, we drop the restriction imposed in [6] for the deterministic case that no two rules are allowed to have the same left hand sides. We allow some of the rules $v \rightarrow u$ to be *anchored* on the left, on the right, or on both sides, which means that they can only be applied to the indicated subword v in words of the form vw , wv , and v , respectively, for words $w \in \mathbb{A}^*$. The language of the NCA is defined to be the set of words $w \in X^*$ with $w \rightarrow^* \epsilon$.

The similarity between GCSGs and NCAs is obvious – replacing the productions $u \rightarrow v$ of the former by rules $v \rightarrow u$ of the latter almost provides a correspondence between them. Apart from the reversed direction of the derivations, there are two principal differences. The first is that in a GCSG the derivations start with σ , whereas with a NCA the significant chains of substitutions end with the empty word ϵ . The second is that NCAs may have anchored rules, whereas the definition of a GCSG does not allow for the possibility of anchored productions.

These differences turn out not to be critical, and in this section we shall prove the following result.

Theorem 3 *Let L be a language over a finite alphabet X with $\epsilon \in L$. Then L is growing context-sensitive if and only if it is defined by a non-deterministic Cannon's Algorithm.*

To handle the anchoring problem, let us define an *extended GCSG* to be one in which some of the productions $u \rightarrow v$ may be left anchored, right anchored, or left and right anchored, which means that they can only be applied to the indicated subword u in words of the form uw , wu or u , respectively, for words $w \in \mathbb{A}^*$. Note that we do not allow productions with $u = \sigma$ to be anchored, and neither is there any need to do so, because they can only be used as the initial productions in derivations of words in the language.

The following proposition tells us that allowing anchored productions does not augment the class of GCSGs.

Proposition 4 *If a language $L \subseteq X^*$ is the language defined by an extended GCSG, then L is also defined by a standard GCSG.*

PROOF: Suppose L is defined by the extended GCSG $(N, X, \sigma, \mathcal{P})$ and let $\mathbb{A} := N \cup X$.

We first replace the grammar by one in which $u \in N^+$ for all productions $u \rightarrow v$. In other words, no terminal occurs in the left hand side of any production.

To do this, we introduce a new set \tilde{X} of non-terminals in one-one correspondence with X . For a word $w \in A^*$, let \tilde{w} be the result of replacing every terminal x in w by its corresponding non-terminal \tilde{x} . We replace each production $u \rightarrow v$ by a collection of productions of the form $\tilde{u} \rightarrow v'$, where v' ranges over all possible words obtained by replacing some of the terminals x that occur in v by their corresponding non-terminals \tilde{x} . This achieves the desired effect without altering the language of the grammar.

After making that change, we introduce three new sets of non-terminals, \hat{N} , N^\wedge and \hat{N}^\wedge , each in one-one correspondence with N . For a word $w \in \mathbb{A}^+$, we define \hat{w} as follows. Let $w = xv$ with $x \in \mathbb{A}$, $v \in \mathbb{A}^*$. If $x \in N \setminus \{\sigma\}$, then we set $\hat{w} = (\hat{x})v$, where \hat{x} is the symbol in \hat{N} that corresponds to x . Otherwise, if $x \in X \cup \{\sigma\}$, we set $\hat{w} = w$. We define w^\wedge and \hat{w}^\wedge similarly. (Note that the new symbols in \hat{N}^\wedge are only needed here when $|w| = 1$.)

Now each production of the grammar of the form $\sigma \rightarrow v$ is replaced by $\sigma \rightarrow \hat{v}^\wedge$. For each non-anchored production $u \rightarrow v$ with $u \neq \sigma$, we keep this production and also introduce new (non-anchored) productions $\hat{u} \rightarrow \hat{v}$, $u^\wedge \rightarrow v^\wedge$, and $\hat{u}^\wedge \rightarrow \hat{v}^\wedge$. Each left anchored production $u \rightarrow v$ is replaced by the two productions $\hat{u} \rightarrow \hat{v}$ and $\hat{u}^\wedge \rightarrow \hat{v}^\wedge$, and similarly for right anchored productions. A left and right anchored production $u \rightarrow v$ is replaced by the single production $\hat{u}^\wedge \rightarrow \hat{v}^\wedge$.

The effect of these changes is that the symbols in \hat{N} can only occur as the leftmost symbol of a word in a derivation starting from σ and, similarly, those in N^\wedge can only occur as the rightmost symbol. Conversely, in any word $w \neq \sigma$ that occurs in such a derivation, if the leftmost symbol of w is a non-terminal then it lies in \hat{N} , and similarly for the rightmost symbol. The symbols in \hat{N}^\wedge can only arise as the result of an initial derivation of the form $\sigma \rightarrow \hat{v}^\wedge$ with $|v| = 1$. So the productions that were initially anchored can now only be applied in a production at the left or right hand side of the word, and so we have effectively replaced anchored productions by non-anchored ones that behave in the same way. Hence the language defined by this grammar is the same as that defined by the original grammar. \square

A natural question that arises at this point is whether anchored rules in a NCA can be dispensed with in a similar fashion. The following simple example shows that this is not possible. Let $X = \{x\}$. Then $L := \{x\}$ is the language of the NCA with $\mathbb{A} = X$ and the single rule $x \rightarrow \epsilon$ that is left and right anchored. A NCA without anchored rules recognising L would have

to contain the rule $x \rightarrow \epsilon$, but then L would also contain x^n for all $n > 0$. However, the proof of Theorem 3 that follows shows that we can make do with non-anchored rules together with left and right anchored rules of the form $v \rightarrow \epsilon$.

PROOF OF THEOREM 3: Suppose that $L \subseteq X^*$ is the language defined by the GCSG $(N, X, \sigma, \mathcal{P})$ and that $\epsilon \in L$. We define a NCA $(X, \mathbb{A}, \mathcal{R})$ with $\mathbb{A} = X \cup N \setminus \{\sigma\}$, where the rules \mathcal{R} are derived from the productions \mathcal{P} as follows. Productions of the form $\sigma \rightarrow v$ with $v \neq \epsilon$ are replaced by rules $v \rightarrow \epsilon$ anchored on both sides. All productions $u \rightarrow v$ with $u \neq \sigma$ are replaced by the rule $v \rightarrow u$. It is easily seen that derivations of words in L using \mathcal{P} correspond exactly, but in reverse order, to reductions of words to ϵ using \mathcal{R} , so the language of $(X, \mathbb{A}, \mathcal{R})$ is equal to L .

Conversely, suppose that L is the language of the NCA $(X, \mathbb{A}, \mathcal{R})$. Then we define an extended GCSG $(N, X, \sigma, \mathcal{P})$ as follows. We introduce σ as a new symbol and put $N := (\mathbb{A} \setminus X) \cup \tilde{X} \cup \{\sigma\}$ where \tilde{X} is a set of non-terminals in one-one correspondence with X . Given a rule, $v \rightarrow u \in \mathcal{R}$, we will want to consider a collection of productions $\tilde{u} \rightarrow \tilde{v}$, where \tilde{u} and \tilde{v} range over all words gotten by replacing X letters with \tilde{X} letters subject only to the requirement that $\tilde{u} \notin X^*$. We refer to this collection of rules by $\overline{u \rightarrow v}$.

We produce the set of productions \mathcal{P} as follows. We make $\sigma \rightarrow \epsilon$ a production of \mathcal{P} , and the remaining productions are derived from the rules \mathcal{R} as follows. Rules of the form $v \rightarrow u$ with $u \neq \epsilon$ are replaced by productions $\overline{u \rightarrow v}$, where anchored rules are replaced by correspondingly anchored productions.

For a non-anchored rule $v \rightarrow \epsilon$, we introduce non-anchored productions $\overline{x \rightarrow xv}$ and $\overline{x \rightarrow vx}$ for all $x \in \mathbb{A}$, together with the productions $\overline{\sigma \rightarrow v}$. For a left-anchored rule $v \rightarrow \epsilon$, we introduce left-anchored productions $\overline{x \rightarrow vx}$ for all $x \in \mathbb{A}$ together with the productions $\overline{\sigma \rightarrow v}$. Right-anchored rules of this form are handled similarly. Finally, for a left and right anchored rule $v \rightarrow \epsilon$, we introduce the productions $\overline{\sigma \rightarrow v}$.

By choosing the correct version of these rules at each step (i.e., including the correct set of \tilde{X} letters), each reduction to ϵ using \mathcal{R} can be turned into a derivation using \mathcal{P} . Similarly, each derivation using \mathcal{P} determines a corresponding reduction using \mathcal{R} . Thus the language of this grammar is equal to L and, by Proposition 4, we may replace it by a standard GCSG with language L . \square

We saw earlier that the property of a group G having growing context word problem is independent of the chosen semigroup generating set of G , and is closed under passing to finitely generated subgroups. It is proved in [6,

Theorems 2.11, 2.13] that the property of G having a deterministic Cannon's Algorithm is preserved under taking free products and under passing to overgroups of finite index. These proofs work equally well for non-deterministic Cannon's algorithms, and so having growing context sensitive word problem is also closed under these operations. But we shall see in the next section that $F_2 \times F_2$ does not have growing context sensitive word problem. Hence we see that the class of groups with growing context sensitive word problem is not closed under direct products.

3 Groups without non-deterministic Cannon's algorithm

This section is devoted to the proofs of Theorems 1 and 2, which extend Theorems 7.1 and 7.2 of [6].

Our proofs of Theorems 1 and 2 are modifications of the original proofs in [6]. We assume the reader is familiar with that work and has it available for reference. We will show how to modify those arguments so that they can be extended to the non-deterministic case.

The argument of [6] starts by examining the reduction of a word w_0 to w_n by repeated applications of the length-reducing rules, which are referred to as the *history to time n of w_0* . These words are then displayed laid out in successive rows in a rectangle. The place in each row where a rule is to be applied is marked with a *substitution line*. The letters resulting from the substitution occupy the space on the next row below this line and are each given equal width. The authors introduce the notion of a *splitting path* which is a decomposition of such a rectangle into a right and left piece, together with combinatorial information on that decomposition. Two splitting paths are defined to be equivalent if they are labelled with the same combinatorial information. Given two histories, v_0, \dots, v_r and w_0, \dots, w_s , if these have equivalent splitting paths, then the left half of the first rectangle can be spliced together with the right half of the second rectangle in a way which produces the history of the reduction starting with $v_0^- w_0^+$ and ending with $v_r^- w_s^+$. (The super-scripts denote the left and right halves of these words.) The combinatorics of splitting paths are such that in certain key situations, exponentially many cases are forced to share only polynomially many equivalence classes of splitting paths. The hypotheses of Theorems 7.1 and 7.2 of [6] assure a supply of exponentially many commutators, each of which must reduce to the empty word. One shows that two of these can be spliced together to produce a word which does not represent the identity, but which also reduces to the empty word. This is a contradiction.

Essentially we are able to work with the same definitions of histories, splitting paths and their details, and equivalence of splitting paths as [6], but need to introduce a definition of equivalence of histories, and re-word and re-prove some of the technical results involving these concepts. With those revisions, we shall see that minor variations of the original proofs verify the non-deterministic versions of the theorem.

We now describe how to modify the proofs of Theorems 7.1 and 7.2 of [6] to prove Theorems 1 and 2.

Given a non-deterministic Cannon's algorithm and a word w_0 , there is no longer a unique history to time n of w_0 . We can call any sequence of words w_0, w_1, \dots, w_n produced as the algorithm makes n substitutions on w_0 a history, although it is no longer valid to call it *the* history.

The definitions of a “diagram”, a “substitution line”, and the “width” of a letter need no modification, nor do Lemmas 6.1 and 6.2 of [6] which relate the width of a letter to its generation.

The definition of a “splitting path” needs no modification. Lemma 6.4 of [6] states that a letter of generation g has a splitting path ending next to it of length at most $2g + 2$. This remains true *if we choose the history appropriately*. We now show that we can do this.

Observe that in the non-deterministic case if a word w contains as disjoint substrings two left-hand sides, say u and u' of the rules $u \rightarrow v$ and $u' \rightarrow v'$, then these two substitutions can be carried out in either order, i.e., either as

$$xuyu'z \rightarrow xvyu'z \rightarrow xvyv'z$$

or as

$$xuyu'z \rightarrow xuyv'z \rightarrow xvyv'z.$$

Now consider two histories,

$$h_1 = w_0, \dots, xuyu'z, xvyu'z, xvyv'z, \dots, w_n$$

and

$$h_2 = w_0, \dots, xuyu'z, xuyv'z, xvyv'z, \dots, w_n.$$

(Corresponding ellipses stand for identical sequences.) We will say that these are *equivalent reductions*¹ and this generates an equivalence relation on reductions starting with w_0 and ending with w_n . We can then speak of *corresponding substitutions* in equivalent reductions. Notice that corresponding substitution lines in equivalent reductions have the same width,

¹The notion of equivalent reductions is not to be confused with the notion of equivalent histories defined below. Accordingly, we will briefly refer to histories as *reductions* to distinguish these equivalence relations.

occur at the same position horizontally, consume the same letters with the same widths and generations and produce the same letters with the same width and generation.

Given a history w_0, \dots, w_n , there is a partial ordering of its substitutions which is generated by the relation $s_1 \prec s_2$ if s_2 consumes a letter produced by s_1 . The relationship \prec is visible in the diagram of the history in that $s_1 \prec s_2$ if and only if there is a sequence of substitution lines with horizontally overlapping segments starting at s_1 and ending at s_2 . In particular, \prec -incomparable substitution lines do not overlap in horizontal position, except possibly at their endpoints. (We will omit further mention of this possible exception.) Because of this, given two \prec -incomparable substitutions s_1 and s_2 we either have s_1 *lying to the left of* s_2 or *vice versa*. Notice that if s_1 lies to the left of s_2 , then this is so for the corresponding substitutions in any equivalent reduction.

Lemma 5 *Suppose that $h_1 = w_0, \dots, w_n$ is a reduction containing the substitutions s_1 and s_2 in which s_1 takes place before s_2 and s_1 and s_2 are \prec -incomparable. Then there is an equivalent reduction h_2 in which the substitution corresponding to s_1 takes place after that corresponding to s_2 .*

PROOF: Note that s_1 and s_2 do not overlap horizontally. Thus, if these two substitutions take place at successive words of h_1 , we are done.

Suppose now that no \prec -ancestor of s_2 takes place later than s_1 . In that case, s_1 can be interchanged with the immediately preceding substitution, thus reducing by 1 the number of substitutions occurring between s_1 and s_2 . Continuing this in this way produces the previous case.

Finally, suppose there is $s_3 \prec s_2$ with s_3 occurring later than s_1 . Let us suppose that s_3 is the earliest such. Then s_1 and s_3 are \prec -incomparable, for otherwise we would have $s_1 \prec s_2$. Applying the previous case allows us to move s_3 prior to s_1 , thus reducing by 1 the number of \prec -ancestors of s_2 lying between s_1 and s_2 . Continuing in this way produces the previous case. \square

Corollary 6 *Suppose that $h_1 = w_0, \dots, w_n$ is a reduction and that Σ_1 and Σ_2 are disjoint sets of substitutions in h_1 with the property that no substitution of Σ_1 is \prec -comparable with any substitution of Σ_2 . Then there is an equivalent reduction h_2 in which every substitution of Σ_1 takes place before every substitution of Σ_2 . \square*

In view of this, by passing to an equivalent reduction, we may assume that

if s_1 and s_2 are \prec -incomparable and s_1 takes place to the left of s_2 , then s_1 takes place prior to s_2 .

Using this assumption on our choice of history justifies the statement in the proof of Lemma 6.4 that, “When this happens it can only be with substitutions to the left in the upper half and to the right in the lower.” The proof now goes through as before.

The definitions of “details” and equivalence of splitting paths need no modification. Remark 6.5 of [6] gives a bound on the number of equivalence classes of length n . This remains valid. (However, we could simplify the details by ceasing to record the $W - 1$ letters to the left/right in a right/left segment as part of the details. These are only needed to ensure that substitutions take place in the intended order in the deterministic case in Lemma 6.6.)

Lemma 6.6 of [6] states that if two histories, v_0, \dots, v_r and w_0, \dots, w_s have equivalent splitting paths then these can be spliced to form the history starting with $v_0^- w_0^+$ and ending with $v_r^- w_s^+$. In our case, we need to modify the statement of Lemma 6.6 of [6] to say “Then *a* history of $v_0^- w_0^+$ up to a suitable time ...” rather than “*the* history”, because this history may not be unique. With that change, Lemma 6.6 remains true.

The paragraph after the proof of Lemma 6.6 no longer applies at all; that is, v_r is not necessarily determined even in a weak sense by v_0 .

Section 6.1 adapts these methods to respect subword boundaries. Given a reduction of v_0 to v_t , and a subword w_0 of v_0 , this gives us a diagram for the reduction of w_0 to the subword w_t of v_t . This subdiagram lies within the diagram for the reduction of v_0 to v_t and shows w_t on the side opposite w_0 . Lemma 6.7 of [6] says that if w_0 is a subword of v_0 of length N and w_t has length at least $2W - 1$, then the reduction of w_0 to w_t (and hence the reduction of v_0 to v_t) has a splitting path in one of at most $C_1 N^{C_2}$ classes. The results of this section up to and including this Lemma remain valid.

Lemma 6.8 of [6] discusses the way that the choice of u_0 affects the subword reduction of v_0 in the word $u_0 v_0$. This does not make sense as stated for a non-deterministic algorithm, because reduction of $u_0 v_0$ to $u_t v_t$ no longer gives the word v_t as a function of u_0 .

In order to state an analogous result, we need an appropriate notion of equivalence of histories. For fixed v_0 and variable u_0 , we define two histories $u_0 v_0 \rightarrow u_t v_t$ and $u'_0 v_0 \rightarrow u'_t v'_t$ to be *equivalent* if either:

- (i) $l(v_t) < W$ and $v_t = v'_t$; or
- (ii) $l(v_t), l(v'_t) \geq W$, the first $W - 1$ letters of v_t and v'_t are the same, and the

two histories have equivalent splitting paths that begin at the same place in v_0 and end immediately after the first $W - 1$ letters of v_t and v'_t .

Then it follows from Lemma 6.6 of [6] that, if $u_0v_0 \rightarrow u_tv_t$ and $u'_0v_0 \rightarrow u'_tv'_t$ are equivalent histories, then there is also a history $u'_0v_0 \rightarrow u'_tv_t$, to which both are equivalent. (Note: this notation may seem to imply that all of these histories have the same length t , but of course they need not. All three lengths might be different!)

Then the proof of Lemma 6.8 of [6] shows that, for fixed v_0 of length N , there are at most C_0N^C equivalence classes of histories $u_0v_0 \rightarrow u_tv_t$ for variable u_0 .

We turn now to the proof of our Theorem 1. As we have already seen, the equivalent properties of having growing context sensitive word problem and having non-deterministic Cannon's algorithms hold independently of the finite semigroup generating set \mathcal{G} , so we only need to prove the non-existence of the Cannon's algorithm over \mathcal{G} . We can further assume that $1 \in \mathcal{G}$ so that any element which is represented by a word of length less than or equal to n is also represented by a word of length n . (It is also easily seen directly that the hypotheses of Theorems 1 and 2 do not depend on the choice of generators.) Assume for a contradiction that the hypotheses of that theorem hold and that there exists a non-deterministic Cannon's algorithm over a working alphabet \mathbb{A} that contains \mathcal{G} .

First we choose a specific value of $n > 3W$ that is large enough for this stronger version of condition (2) of Theorems 1 and 2 to apply, $|S_i(n)| \geq \alpha_0\alpha_1^n$, and also such that n is big enough so that that this exponential function is larger than a particular polynomial function that comes out of some of our technical lemmas. More precisely, we require

$$\frac{1}{2}\alpha_0\alpha_1^n > C_1n^{C_2+2}|\mathbb{A}|^{6W}C_0n^C,$$

where C, C_0, C_1 and C_2 are the constants defined in Lemmas 6.7 and 6.8.

For $i = 1, 2$, let T_i be a set of words of length at least $3W$ and at most n representing the elements of $S_i(n)$. Since each element of $S_1(n)$ commutes with each element of $S_2(n)$, we have $u_0v_0u_0^{-1}v_0^{-1} =_G 1$ for all $u_0 \in T_1$ and $v_0 \in T_2$, and hence this word can be reduced, not necessarily uniquely, to the empty word by means of the Cannon's algorithm. For ease of notation we write x_0 for u_0^{-1} and y_0 for v_0^{-1} . For each such u_0 and v_0 , we choose some sequence of substitutions that reduces $u_0v_0x_0y_0$ to the empty word and let $u_tv_tx_ty_t$ be the word that we get after applying t substitutions in this sequence.

For such a commutator, we run the algorithm to the point where for the

first time v_t and x_t both have length less than $3W$. At that time the longer one has length in the range $[2W, 3W - 1]$. Note that t depends on u_0 and v_0 , and where it is used below it should be seen that way, and not as a constant.

First we assume that for at least half of the pairs $(u_0, v_0) \in T_1 \times T_2$, we have $l(v_t) \geq l(x_t)$. We shall deal with the opposite case later.

Step 1. Now we fix a $v_0 \in T_2$, chosen such that $l(v_t) \geq l(x_t)$ for at least half of the words $u_0 \in T_1$, and we let U be the set of all words u_0 with this property. Then $|U| \geq \frac{1}{2}\alpha_0\alpha_1^n$.

Step 2. Since we have $l(v_t) \geq 2W$, we can apply Lemma 6.7, which says that we can choose a splitting path for the subword history v_0, v_1, \dots, v_t in one of polynomially many equivalence classes ($C_1 n^{C_2}$, to be precise). That is to say there are polynomially many sets of details that can describe such a splitting path. This remains true (with the number increased to $C_1 n^{C_2+2}$) if we add to the detail the information that tells us where within v_0 the splitting path begins and where within v_t the splitting path ends. We call that the *extended detail*.

Step 3. Now since v_0 is fixed we have a well defined map $u_0 \mapsto v_t x_t y_t$. (Recall that, although there may be many possible reduction sequences for $u_0 v_0 x_0 y_0$, we arbitrarily chose some fixed sequence for each u_0 .) Now we apply our amended version of Lemma 6.8 with $u_0 v_0 x_0$ in place of u_0 and y_0 in place of v_0 . This tells us there are at most polynomially ($C_0 n^C$ in fact) many equivalence classes of histories $u_0 v_0 x_0 y_0 \rightarrow u_t v_t x_t y_t$. But u_0 comes from a set of exponential size (at least $\frac{1}{2}\alpha_0\alpha_1^n$), which we have chosen to be bigger than the appropriate polynomial, through our choice of n . So we have a large set (of size more than $C_1 n^{C_2+2}$) of $u_0 \in U$ that give rise to the same words v_t and x_t , and with the property that the histories $u_0 v_0 x_0 y_0 \rightarrow u_t(u_0) v_t x_t y_t(u_0)$ (where v_t and x_t are fixed, but u_t and y_t depend on u_0) are all equivalent, in the sense defined above in our comments about the amended Lemma 6.8.

But, as we also noted above, Lemma 6.6 implies that these histories are also all equivalent to histories $u_0 v_0 x_0 y_0 \rightarrow u_t(u_0) v_t x_t y_t$ for the same fixed y_t . Note that in these two equivalent histories $u_0 v_0 x_0 y_0 \rightarrow u_t(u_0) v_t x_t y_t(u_0)$ and $u_0 v_0 x_0 y_0 \rightarrow u_t(u_0) v_t x_t y_t$, the parts of the two histories to the left of the splitting line are the same except for the number of steps in which the words remain constant. So we can use essentially the same splitting paths as we chose in Step 2 for the second history.

Step 4. Since the number of u_0 giving rise to equivalent histories in Step 3 is greater than the number of equivalence classes of positioned splitting paths for v_t in Step 2, we can choose $u_0, u'_0 \in U$ such that $u_0 v_0 x_0 y_0 \rightarrow u_t v_t x_t y_t$ and $u'_0 v_0 x_0 y_0 \rightarrow u'_t v'_t x'_t y'_t$ are equivalent histories with $v'_t = v_t$ and $x'_t = x_t$, and

such that the subhistories $v_0 \rightarrow v_t$ and $v_0 \rightarrow v'_t$ in the two histories contain equivalent splitting paths, which start at the same position in v_0 and end in the same position in $v_t = v'_t$.

By the remark above, the second of these histories (and hence also the first!) is equivalent to a history $u'_0 v_0 x_0 y_0 \rightarrow u'_t v'_t x'_t y_t$, which still contains an equivalent splitting path through $v_0 \rightarrow v'_t$. Now we can do our splicing, and apply Lemma 6.6 of [6] to produce a history $u_0 v_0^- v_0'^+ x'_0 y'_0 \rightarrow u_t v_t^- v_t'^+ x'_t y_t = u_t v_t x_t y_t$.

But $u_t v_t x_t y_t$ is part of the originally chosen history that reduces the commutator $u_0 v_0 x_0 y_0$ to the empty word, so there exists a history that reduces $u_0 v_0^- v_0'^+ x'_0 y'_0$ to the empty word, a contradiction, because this is not the identity element of the group.

In the second case (not considered in detail in Section 7 of [6]) where $l(x_t) \geq l(v_t)$ for at least half the pairs $(u_0, v_0) \in T_1 \times T_2$, rather than fix $v_0 \in T_2$ we fix $u_0 \in T_1$ in a similar way, and let V be the possible v_0 from which, together with the chosen u_0 , we get $l(x_t) \geq l(v_t)$. Then we apply Lemma 6.7 to the subword histories x_1, \dots, x_t . Now we look at the map $v_0 \mapsto u_t v_t x_t$. The analogue of Lemma 6.8 applied to $u_t v_t$ tells us that u_t can take polynomially many values, and we see that we get a large set of possible $v_0 \in V$ corresponding to a single $u_t v_t x_t$. Hence we can choose v'_0 mapping such that $u_t v_t x_t = u'_t v'_t x'_t$ and such that the subword histories x_1, \dots, x_t and x'_1, \dots, x'_t have the same extended details, and we can splice. Hence we see that the algorithm should rewrite $u_0 v_0 x_0^- x_0'^+ y'_0$ to $u_t v_t x_t^- x_t'^+ y'_t$. Since $x_0 = x'_0$, the first of these two words is equal to $u_0 v_0 x_0 y'_0$, equal in the group to $v_0 v_0'^{-1}$, so non-trivial. But the second word is equal to $u'_t v'_t x'_t y'_t$, which rewrites to the trivial word. Hence again we get our contradiction.

Modifying the proof of Theorem 7.2 from [6] in the same way, we arrive at a proof of Theorem 2.

Basically we choose n_1, n_2 with

$$\frac{1}{2} \alpha_0 \alpha_1^{n_1} > C_1 n_2^{C_2+2} |\mathbb{A}|^{6W} C_0 n_2^C, \quad \frac{1}{2} \alpha_2 n_2 > C_1 n_1^{C_2+2} |\mathbb{A}|^{6W} C_0 n_1^C$$

which we can do, for example, by first setting n_2 equal to some polynomial function in n_1 so that (2) is satisfied for all n_1 , and then choosing n_1 big enough so that (1) holds. (But notice that we need Hypothesis (2) in the statement of the theorem to be satisfied for these particular values of n_1 and n_2 , which is why we have assumed this hypothesis for all integers $n > 0$ rather than for infinitely many such n , which was sufficient for Theorem 1.)

As in the proof of Theorem 1, for $i = 1, 2$, we choose T_i to be a set of words of length at least $3W$ and at most n that represent the elements of S_i . Those

conditions will now ensure each $\frac{1}{2}|T_i|$ ($i = 1, 2$) is bounded below by the appropriate polynomial function of n_2, n_1 which allows us to find u_0, u'_0 . So in the case where v_t is longer than u_t we can do just what we did in the first case of Theorem 1, since T_1 is big enough we can find a big enough set of elements of U mapping to the same $v_t x_t y_t$.

And in the second case we have T_2 big enough, and so we can follow the argument used in the second case of the proof of Theorem 1

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