

# Polynomials

**Definition 1.1.** Let  $k$  be a field. A **polynomial**  $f$  over  $k$  in variables  $x_1, \dots, x_n$  is a sum

$$f = f(x_1, \dots, x_n) = \sum_{\alpha_1, \dots, \alpha_n} a_{\alpha_1, \dots, \alpha_n} x_1^{\alpha_1} \cdots x_n^{\alpha_n},$$

where

1.  $\alpha_1, \dots, \alpha_n$  runs over all  $n$ -tuples of non-negative integers,
2.  $a_{\alpha_1, \dots, \alpha_n} \in k$ , for all  $\alpha_1, \dots, \alpha_n$  and
3.  $a_{\alpha_1, \dots, \alpha_n} = 0$ , for all but finitely many  $\alpha_1, \dots, \alpha_n$ .

When convenient we write  $\alpha$  for the  $n$ -tuple  $\alpha_1, \dots, \alpha_n$  and  $a_{\alpha} \mathbf{x}^{\alpha}$  for  $a_{\alpha_1, \dots, \alpha_n} x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ .

Two polynomials  $\sum_{\alpha} a_{\alpha} \mathbf{x}^{\alpha}$  and  $\sum_{\alpha} b_{\alpha} \mathbf{x}^{\alpha}$  are equal if and only if  $a_{\alpha} = b_{\alpha}$ , for all  $\alpha$ .

# Writing polynomials

When writing polynomials we use the following conventions.

1. We do not write down  $a_{\alpha_1, \dots, \alpha_n} x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  for any  $\alpha$  such that  $a_\alpha = 0$ . We call the polynomial with  $a_\alpha = 0$ , for all  $\alpha$ , the **zero** polynomial and write it as 0.
2. We omit  $x_i^{\alpha_i}$  from  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  if  $\alpha_i = 0$ . In particular we write  $a$  instead of  $a x_1^0 \cdots x_n^0$ . Thus  $2x_1^2 x_2^0 x_3^3$  is written as  $2x_1^2 x_3^3$  and  $3x_1^0 x_2^0 x_3^4$  as  $3x_3^4$ .

# Polynomial terminology

**Definition 1.3.** Let

$$f(x_1, \dots, x_n) = \sum_{\alpha_1, \dots, \alpha_n} a_{\alpha_1, \dots, \alpha_n} x_1^{\alpha_1} \cdots x_n^{\alpha_n},$$

be a polynomial over  $k$ .

1.  $a_{\alpha_1, \dots, \alpha_n}$  is called the **coefficient** of the monomial  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ .
2. If  $a_\alpha \neq 0$  we call  $a_\alpha \mathbf{x}^\alpha$  a **term** of  $f$ .
3. The **degree** of the term  $a_\alpha \mathbf{x}^\alpha$  is the degree of the monomial  $\mathbf{x}^\alpha$ . The **degree** of  $x_i$  in the term  $a_\alpha \mathbf{x}^\alpha$  is the degree of  $x_i$  in  $\mathbf{x}^\alpha$ .
4. If  $f$  is not the zero polynomial then the **degree** of  $f$  is the maximum of the degrees of the terms of  $f$  and the **degree** of  $x_i$  in  $f$  is the maximum of the degrees of  $x_i$  in terms of  $f$ . If  $f$  is the zero polynomial then  $f$  has **degree**  $-\infty$ .

# Addition of polynomials

**Definition 1.4.** Let

$$f = \sum_{\alpha} a_{\alpha} \mathbf{x}^{\alpha} \text{ and } g = \sum_{\alpha} b_{\alpha} \mathbf{x}^{\alpha}$$

be polynomials. The **sum**  $f + g$  of  $f$  and  $g$  is

$$f + g = \sum_{\alpha} (a_{\alpha} + b_{\alpha}) \mathbf{x}^{\alpha}.$$

It is easy to check that, with this definition of addition,  $k[x_1, \dots, x_n]$  is a vector space over  $k$  with the required basis.

**Example 1.5.**

Let  $f = x_1^2 + x_2^2 + x_1^2 x_2$  and  $g = 2x_1^2 + x_1 x_2 - 3x_2^2 + 1$  then

$$f + g = 3x_1^2 - 2x_2^2 + x_1^2 x_2 + x_1 x_2 + 1.$$

## Definition 1.6. Let **Multiplication of polynomials**

$$f = \sum_{\alpha} a_{\alpha} \mathbf{x}^{\alpha} \text{ and } g = \sum_{\alpha} b_{\alpha} \mathbf{x}^{\alpha}$$

be polynomials. The **product**  $fg$  of  $f$  and  $g$  is

$$fg = \sum_{\gamma} c_{\gamma} \mathbf{x}^{\gamma},$$

where

$$c_{\gamma} = \sum_{\alpha+\beta=\gamma} a_{\alpha} b_{\beta}.$$

## Example 1.7.

Let  $f = x^2 + y^2 + 1$  and  $g = xy^2 + x^3 + 2$  then

$$\begin{aligned}fg &= x^3y^2 + x^5 + 2x^2 + xy^4 + x^3y^2 + 2y^2 + xy^2 + x^3 + 2 \\ &= 2x^3y^2 + x^5 + 2x^2 + xy^4 + 2y^2 + xy^2 + x^3 + 2.\end{aligned}$$

# Affine space

## Definition 2.1.

Let  $k$  be a field and let  $n$  be a positive integer.

**Affine  $n$ -space over  $k$**  is the set

$$\mathbb{A}_n(k) = \{(a_1, \dots, a_n) : a_i \in k, \text{ for } i = 1, \dots, n\}.$$

We call the elements  $(a_1, \dots, a_n)$  **points** of  $\mathbb{A}_n(k)$ .

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## Example 2.2.

1. The affine line  $\mathbb{A}_1(k)$  when  $k$  is  $\mathbb{R}$ ,  $\mathbb{Q}$ ,  $\mathbb{C}$  and  $GF(p)$ .
2. The affine plane  $\mathbb{A}_2(k)$ , for the same fields.
3.  $\mathbb{A}_3(k)$ , for these fields.



# Affine curves

## Definition 2.3.

Let  $f$  be a non-constant polynomial of degree  $d$  in variables  $x, y$  over the field  $k$ .

The set of points

$$C_f = \{(a, b) \in \mathbb{A}_2(k) : f(a, b) = 0\}$$

is called a **curve over  $k$**  with **equation  $f = 0$** .

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A curve may have many different equations.

## Some well known curves

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4. Curves of degree 3, 4 and 5 are called a **cubic**, **quartic** and **quintic**, respectively.
5. Consider the curves  $C_f$  and  $C_g$ , where  $f = x^2 - y$  and  $g = x^4 - 2x^2y + y^2$ .

# Polynomials again

## Lemma 2.5.

*Let  $f$  and  $g$  be elements of  $k[x_1, \dots, x_n]$ .*

*Then*

- 1.  $\text{degree}(fg) = \text{degree}(f) + \text{degree}(g)$  and*
- 2.  $\text{degree}(f + g) \leq \max\{\text{degree}(f), \text{degree}(g)\}$*

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*Furthermore, for  $1 \leq i \leq n$ ,*

- 3. the degree of  $x_i$  in  $fg$  is equal to  
[degree of  $x_i$  in  $f$ ] + [degree of  $x_i$  in  $g$ ] and*
- 4. the degree of  $x_i$  in  $f + g$   
 $\leq \max\{\text{degree of } x_i \text{ in } f, \text{degree of } x_i \text{ in } g\}$ .*

# Reducible and irreducible polynomials

## Definition 2.6.

Let  $f$  and  $g$  be elements of  $k[x_1, \dots, x_n]$ .

We say that

$g$  divides  $f$  or

$g$  is a factor of  $f$ ,

written  $g|f$ ,

if there exists an element  $h \in k[x_1, \dots, x_n]$  such that  $f = gh$ .

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## Definition 2.7.

A non-constant polynomial  $f$  over a field  $k$  is **reducible** if there exist non-constant polynomials  $g$  and  $h$ , over  $k$ , such that  $f = gh$ .

A non-constant polynomial is **irreducible** if it is not reducible.

## Examples: reducible and irreducible polynomials

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6. In contrast to the last example the reducibility of the polynomial  $f = x^2 + y^2$  depends upon the ground field  $k$ .
7. As a final example we show that the polynomial  $f = x^2 - y^3$  is irreducible over an arbitrary field  $k$ .

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(In  $\mathbb{Z}$  the irreducible elements are primes.)

# Irreducible factorisation

Let  $f$  be reducible and of degree  $d$ .

Write  $f = gh$ , where

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Eventually we obtain an expression

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A factorization of  $f$  into a product of irreducible polynomials is called an **irreducible factorization** of  $f$ .

## Theorem 2.9.

*Let  $f$  be a polynomial in  $k[x_1, \dots, x_n]$ .*

*Then  $f$  has an irreducible factorization.*

*This factorization is unique up to the order of the irreducible factors and multiplication by constants.*

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Then  $f$  has irreducible factorisation  $gh$ , where  $g = xy - z$  and  $h = xz - y^2$ .

This follows from the previous example and the fact (which you should check) that  $g$  and  $h$  are irreducible.



# Irreducible curves

## Lemma 2.11.

*If  $f, g$  and  $h$  are non-constant polynomials in  $k[x, y]$  with  $f = gh$  then*

$$C_f = C_g \cup C_h.$$

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## Example 2.12.

1. The curve with equation

$$x^2 - y^2 = 0.$$

2. The curve with equation

$$(x^2 + (y - 1)^2 - 1)(x^2 + (y - 2)^2 - 4)(x^2 + (y - 3)^2 - 9) = 0.$$

# Irreducible components

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Let  $f$  be an irreducible polynomial in  $k[x, y]$ .

Then the curve  $C_f$  is called an **irreducible** affine curve.

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## Definition 2.14.

Let  $f$  be a reducible polynomial in  $k[x, y]$  with irreducible factorization  $f = q_1 \cdots q_s$ .

Then we say that  $C_f$  is a **reducible** curve and has **irreducible components**  $C_{q_1}, \dots, C_{q_s}$ .

**Note:** If  $C_f$  has irreducible components

$$C_{q_1}, \dots, C_{q_s}$$

then

$$C_f = C_{q_1} \cup \dots \cup C_{q_s}.$$

(Lemma 2.11)

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Therefore every curve is a union of irreducible curves.

## Example 2.15.

1. Lines are irreducible curves.

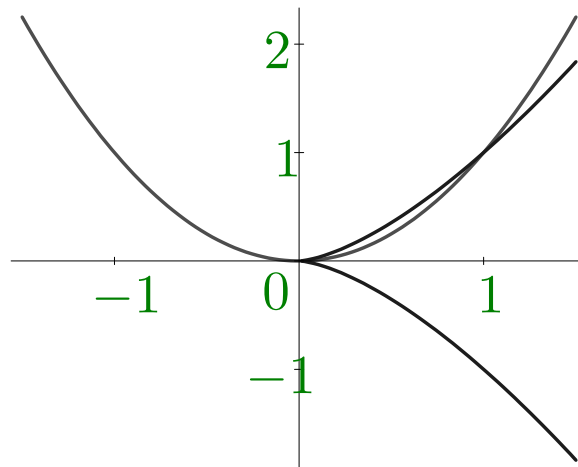


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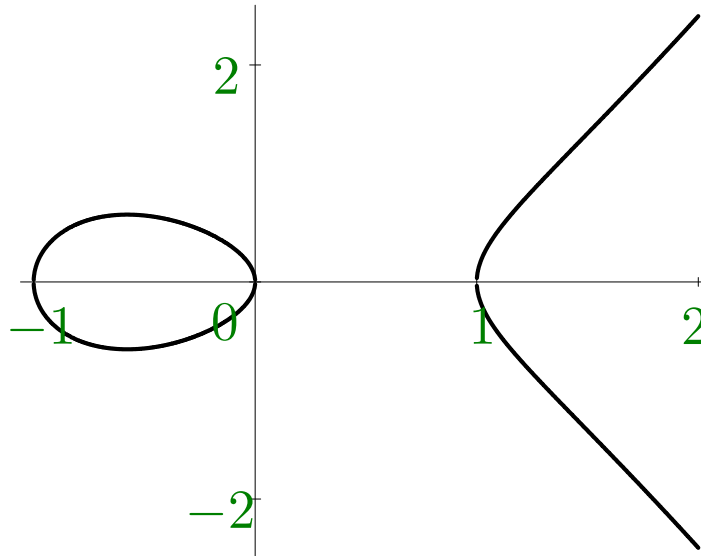
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 $C_f$  has irreducible components  $C_g$  and  $C_h$ .



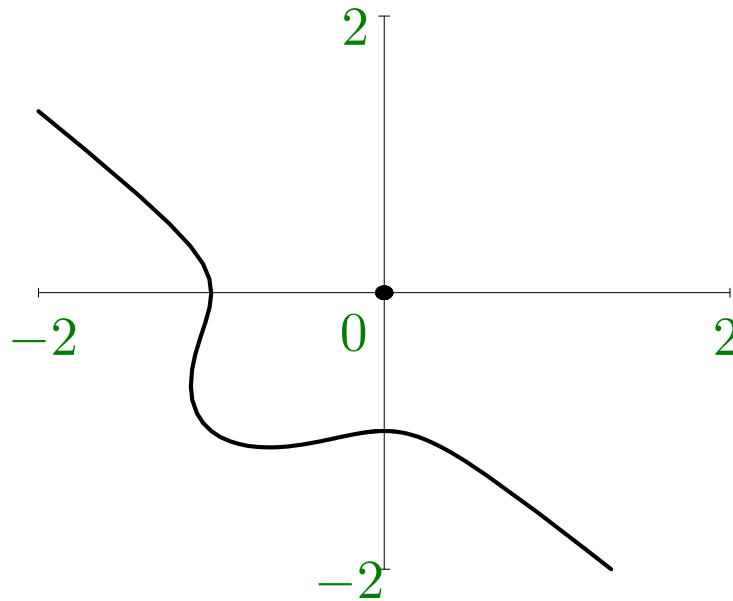
## An irreducible curve with 2 branches

4. The last example may be misleading as, in  $\mathbb{A}_2(\mathbb{R})$ , curves which appear to have several components may in fact be irreducible. For example the curve with equation  $y^2 - x(x^2 - 1) = 0$  is irreducible over  $\mathbb{R}$ .



## An irreducible curve with an isolated point

5. The curve with equation  $x^3 + x^2 + y^3 + y^2 = 0$  in  $\mathbb{A}_2(\mathbb{R})$  behaves even worse, having an isolated point at the origin even though it is irreducible:



## A curve repeated twice

6. On the other hand curves which, when drawn, look irreducible may not be.

For example let  $f = x^2 - 2xy + y^2$ . Then  $f = g^2$ , where  $g = x - y$ .

The curve  $C_f$  has 2 irreducible components both equal to  $C_g$ , which is the line  $y = x$ .

# Polynomials of one variable

## Theorem 2.16.

*Let  $k$  be a field and let  $f \in k[t]$  be a polynomial of degree  $d$ .*

*Then the following hold.*

- 1. If  $a \in k$  then  $f(a) = 0$  if and only if  $(t - a) \mid f$ .*
- 2.  $f$  has at most  $d$  zeros.*

# Algebraically closed fields

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If  $k$  is algebraically closed and  $f$  is non-constant polynomial of degree  $d$  in  $k[t]$  then

$$f = a_0(t - a_1) \cdots (t - a_n),$$

for some  $a_i \in k$ , with  $a_0 \neq 0$ .



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If  $k$  is algebraically closed and  $f$  is non-constant polynomial of degree  $d$  in  $k[t]$  then

$$f = a_0(t - a_1) \cdots (t - a_n),$$

for some  $a_i \in k$ , with  $a_0 \neq 0$ .

This follows from Theorem 2.16 by induction on the degree  $d$  of  $f$ .

The  $a_i$ 's are not necessarily distinct.

# Multiplicity of roots of a polynomial

Collect together all the repeated linear factors and write

$$f = a_0 \prod_{i=1}^k (t - b_i)^{r_i},$$

with  $a_0 \neq 0$ ,  $b_i \neq b_j$  when  $i \neq j$  and  $r_1 + \cdots + r_k = d$ .

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The **multiplicity** of the zero  $b_i$  is  $r_i$ .

## Example 2.17.

1. The field  $\mathbb{C}$  is algebraically closed.

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2. The field  $\mathbb{R}$  is not algebraically closed.

## Theorem 2.18.

Let  $k$  be an infinite field and let  $f \in k[x_1, \dots, x_n]$ .

If

$$f(a_1, \dots, a_n) = 0 \quad \text{for all} \quad (a_1, \dots, a_n) \in \mathbb{A}_n(k)$$

then  $f$  is the zero polynomial.

# Hilbert's Nullstellensatz

## Theorem 2.19.

*Let  $k$  be an algebraically closed field and let  $f$  and  $g$  be non-constant polynomials in  $k[x_1, \dots, x_n]$ .*

*Suppose that*

*1.  $g$  is irreducible and*

*2.  $f(a_1, \dots, a_n) = 0$  for all  $(a_1, \dots, a_n) \in \mathbb{A}_n(k)$  such that  $g(a_1, \dots, a_n) = 0$ .*

*Then  $g|f$ .*



# Implication for curves

## Corollary 2.20.

*Let  $g$  and  $f$  be polynomials in  $k[x, y]$ , where  $k$  is an algebraically closed field.*

*Assume  $g$  has irreducible factorization  $g = q_1 \cdots q_s$ .*

*If*

*1.  $C_g \subset C_f$  and*

*2.  $q_i \neq q_j$ , when  $i \neq j$ ,*

*then  $g|f$ .*

*In particular if  $C_g \subset C_f$  and  $g$  is irreducible then  $g|f$ .*

When  $k$  is algebraically closed:

Curves  $\iff$  Polynomials without repeated factors.

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In particular:

if  $f$  and  $g$  are irreducible polynomials and  $C_f = C_g$  then  $g = af$ , for some  $a \in k$ .

Drop the requirement that  $k$  is algebraically closed and the theorem fails.

## Example 2.21.

Let  $k = \mathbb{R}$  and consider the curve  $C$  with equation  $x^2 + y^2 + 1 = 0$ .

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However the polynomial  $f$  does not divide the polynomial of every other curve:

in particular it does not divide any linear polynomial.

This means Corollary 2.20 does not hold in  $\mathbb{A}_2(\mathbb{R})$ .

Note also that the polynomial  $g = x^2 + y^2 + 2$  defines the same (empty) curve in  $\mathbb{A}_2(\mathbb{R})$ , but that  $g$  is not a constant multiple of  $f$ .

## Parametric form of a line

Suppose  $l$  is a line with equation  $ax + by + c = 0$ , where  $(a, b) \neq (0, 0)$ , and  $(x_0, y_0)$  a point of  $l$ .

Then  $l$  is

$$\{(x_0 - bs, y_0 + as) : s \in k\}. \quad (3.1)$$

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$$c = -(ax_0 + by_0).$$

Then (3.1) defines a line, with equation

$$ax + by + c = 0,$$

passing through  $(x_0, y_0)$ .

(3.1) is the **parametric form** of the line  $l$ .

abbreviated to  $(x_0 - bs, y_0 + as)$



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- The ratio  $(-b : a)$  is the **direction ratio** of  $l$ .

**Example 3.1.** The line  $l$  with equation  $2x + 5y + 1 = 0$  ...

## Intersection polynomials

Let  $l$  contain  $(x_0, y_0)$  and have parametric form  $(x_0 - bs, y_0 + as)$ .

Let  $C$  be the curve with equation  $f = 0$ .

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Let  $C$  be the curve with equation  $f = 0$ .

A point  $q \in \mathbb{A}_2(k)$  lies on  $l$  and  $C$  if and only if  $q = (x_0 - bu, y_0 + au)$ , for some  $u \in k$  such that

$$f(x_0 - bu, y_0 + au) = 0. \quad (3.2)$$

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$\phi$  depends on the choice of parametrisation of  $l$ .

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Point of intersection of  $l$  and  $C \iff u \in k$  such that  $\phi(u) = 0$   
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$\phi(u) = 0 \iff (s - u) | \phi(s)$ . (Theorem 2.16.)

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**Definition 3.3.** Let  $q = (x_0 - bu, y_0 + au)$  be a point of  $l$ , for some  $u \in k$ .

The **intersection number**  $I(q, f, l)$  of  $C$  and  $l$  at  $q$  is the largest integer  $r$  such that

$$(s - u)^r | \phi(s).$$

**Example 3.4.** Let  $f = x^2 - y$  and

let  $l_1$  be the line with equation  $x - y = 0$ ,

let  $l_0$  be the line with equation  $y = 0$  and

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Then  $l_1$  has parametric form  $(s, s)$ ,

$l_0$  has parametric form  $(s, 0)$  and

$l'$  has parametric form  $(s, -1)$ , where  $s \in k$ .

**Example 3.5.** Let  $f = x^2 - y$  and

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Then  $l_m$  has parametric form  $(s, ms)$ , where  $s \in k$ .

## Number of intersections

Suppose  $(x_0, y_0) \in l$  and that  $l$  has parametric form  $(x_0 - bs, y_0 + as)$ .

If  $l \subseteq C_f$  then  $\phi(s) = 0$ , for all  $s \in k$ .



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**Theorem 3.6.** *If  $C$  is an affine curve, with polynomial  $f$  of degree  $d \geq 0$ , and  $l$  is a line with  $l \not\subseteq C$  then  $l \cap C$  has at most  $d$  points, counted with multiplicity.*

*That is*

$$\sum_{p \in l \cap C} I(p, f, l) \leq d.$$

## Lines and curves

**Example 4.1.** The curve  $y - x^2 = 0$ .

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**Example 4.2.** The curve  $y^2 - x^3 - x^2 = 0$ .

# Polynomials and Taylor's theorem

**Definition 4.3.** Let  $f = a_0 + a_1x + \cdots + a_nx^n$  be a polynomial in  $k[x]$ . Then the **derivative** of  $f$  with respect to  $x$  is

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**Theorem 4.4.** Let  $f$  be a polynomial of degree  $d$  in  $k[x]$  and let  $u$  be an element of  $k$ . Then the **Taylor expansion** of  $f$  is

$$f(x) = f(u) + (x - u)f'(u) + \frac{(x - u)^2}{2!}f''(u) + \cdots + \frac{(x - u)^d}{d!}f^{(d)}(u).$$



# Proof of Taylor's theorem

The polynomial  $f(x + u)$  has degree  $d$  and we can write

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The  $r$ th derivative of  $f(x + u)$  with respect to  $x$  is then

$$f^{(r)}(x + u) = r!a_r + (r + 1)!a_{r+1}x + \cdots + \frac{d!}{(d - r)!}a_dx^{d-r}.$$

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Therefore  $f(x + u) = f(u) + xf'(u) + \frac{x^2}{2!}f''(u) + \cdots + \frac{x^d}{d!}f^{(d)}(u)$ .

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Therefore  $f(x + u) = f(u) + xf'(u) + \frac{x^2}{2!}f''(u) + \cdots + \frac{x^d}{d!}f^{(d)}(u)$ .

Substitution of  $x - u$  for  $x$  above gives the required result.

# Partial derivatives of polynomials

We use the notation

$$\frac{\partial f}{\partial x_i} \text{ or } f_{x_i} \text{ or } f_i$$

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## Example

If  $f(x, y) = x^8y^3 + 3x^2y^6 + 17x + y^{10} + 3$  then

$$\frac{\partial f}{\partial x}(x, y) = 8x^7y^3 + 6xy^6 + 17$$

and

$$\frac{\partial f}{\partial y}(x, y) = 3x^8y^2 + 18x^2y^5 + 10y^9.$$

## The chain rule

**Theorem 4.5.** *Let  $f(x_1, \dots, x_n)$  be an element of  $k[x_1, \dots, x_n]$*

*and let  $g_1(s), \dots, g_n(s)$  be elements of  $k[s]$ .*

*Then, differentiating  $f(g_1(s), \dots, g_n(s))$  with respect to  $s$ , we obtain*

$$f'(g_1(s), \dots, g_n(s)) = \sum_{i=1}^n f_{x_i}(g_1(s), \dots, g_n(s))g'_i(s).$$



# Taylor's Theorem

**Theorem 4.6.** *Let  $f \in k[x, y]$  be a polynomial of degree  $n$  and let  $a, b, x_0, y_0 \in k$ .*

*Then*

$$\begin{aligned} f(sa + x_0, sb + y_0) &= f(x_0, y_0) \\ &+ s\left(a\frac{\partial f}{\partial x}(x_0, y_0) + b\frac{\partial f}{\partial y}(x_0, y_0)\right) \\ &\vdots \\ &+ \frac{s^n}{n!} \sum_{j=0}^n \binom{n}{j} a^{n-j} b^j \frac{\partial^n f}{\partial x^{n-j} \partial y^j}(x_0, y_0). \end{aligned}$$

## Proof of Taylor's theorem (several variables)

Let  $\phi(s) = f(sa + x_0, sb + y_0)$ . Using Taylor's theorem for polynomials of one variable (Theorem 4.4) we have

$$\phi(s) = \phi(0) + s\phi'(0) + \frac{s^2}{2!}\phi''(0) + \cdots + \frac{s^n}{n!}\phi^{(n)}(0).$$

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Using the chain rule

$$\phi(0) = f(x_0, y_0)$$

$$\phi'(0) = a\frac{\partial f}{\partial x}(x_0, y_0) + b\frac{\partial f}{\partial y}(x_0, y_0)$$

⋮

$$\phi^{(k)}(0) = \sum_{j=0}^k \binom{k}{j} a^{k-j} b^j \frac{\partial^k f}{\partial x^{k-j} \partial y^j}(x_0, y_0).$$

## Taylor's theorem again

**Corollary 4.7.** *Let  $f \in k[x, y]$  be a polynomial of degree  $n$  and let  $x_0, y_0 \in k$ .*

*Then*

$$\begin{aligned} f(x, y) &= f(x_0, y_0) \\ &+ \left( (x - x_0) \frac{\partial f}{\partial x}(x_0, y_0) + (y - y_0) \frac{\partial f}{\partial y}(x_0, y_0) \right) \\ &\vdots \\ &+ \frac{1}{n!} \sum_{j=0}^n \binom{n}{j} (x - x_0)^{n-j} (y - y_0)^j \frac{\partial^n f}{\partial x^{n-j} \partial y^j}(x_0, y_0). \end{aligned}$$

## Taylor's theorem again

**Corollary 4.7.** *Let  $f \in k[x, y]$  be a polynomial of degree  $n$  and let  $x_0, y_0 \in k$ .*

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**Proof.**

Set  $s = 1$ ,  $a = x - x_0$  and  $b = y - y_0$  in the Theorem.

# Homogenous polynomials of 2 variables

A ratio  $(a : b)$  is **non-zero** if  $(a, b) \neq (0, 0)$ .

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A ratio  $(a : b)$  is **non-zero** if  $(a, b) \neq (0, 0)$ .

**Lemma 4.8.** *Let  $f(x, y)$  be a homogenous polynomial of degree  $d \geq 0$  in 2 variables.*

*Then there are at most  $d$  non-zero ratios  $(a : b)$  such that  $f(a, b) = 0$ .*

*If  $k = \mathbb{C}$  then*

$$f(x, y) = a_0 \prod_{i=1}^d (b_i x - a_i y),$$

*for some  $a_i, b_i \in \mathbb{C}$ .*

# Proof

Write

$$f = \sum_{j=0}^d c_j x^j y^{d-j},$$

where  $c_j \neq 0$ , for some  $j$ .



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where  $c_j \neq 0$ , for some  $j$ .

Given  $(a, b)$  we have  $f(a, b) = 0$  if and only if  $f(ta, tb) = 0$ , for all  $t \neq 0$ .

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Any non-zero ratio  $(a : 0)$  is equal to  $(1 : 0)$

and any ratio  $(a : b)$  with  $b \neq 0$  is equal to  $(t : 1)$ , with  $t = a/b$ .

Firstly suppose that  $(1, 0)$  is not a zero of  $f$ .

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From Theorem 2.16, there are at most  $d$  zeros of  $f(t, 1)$ . This proves the first statement of the lemma.

If  $k = \mathbb{C}$  then

$$f(t, 1) = a_0 \prod_{i=1}^d (t - a_i),$$

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and so

$$f(x, y) = y^d f(t, 1) = a_0 \prod_{i=1}^d (x - a_i y).$$

Now suppose that  $(1, 0)$  is a zero of  $f$ . Then  $c_d = 0$  so there is  $e \geq 1$  such that

$$c_d = c_{d-1} = \cdots = c_{d-e+1} = 0 \text{ and } c_{d-e} \neq 0.$$

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Since  $c_{d-e} \neq 0$  the result now follows from the previous case.

## Singular points

**Definition 4.9.** Let  $C$  be an affine curve with polynomial  $f$ .

A point  $(x_0, y_0)$  of  $C$  is called **singular** if

$$f_x(x_0, y_0) = f_y(x_0, y_0) = 0.$$

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**Example 4.10.** Find all singular points of the curve with equation

$$f(x, y) = x^3 + y^3 - 3xy.$$

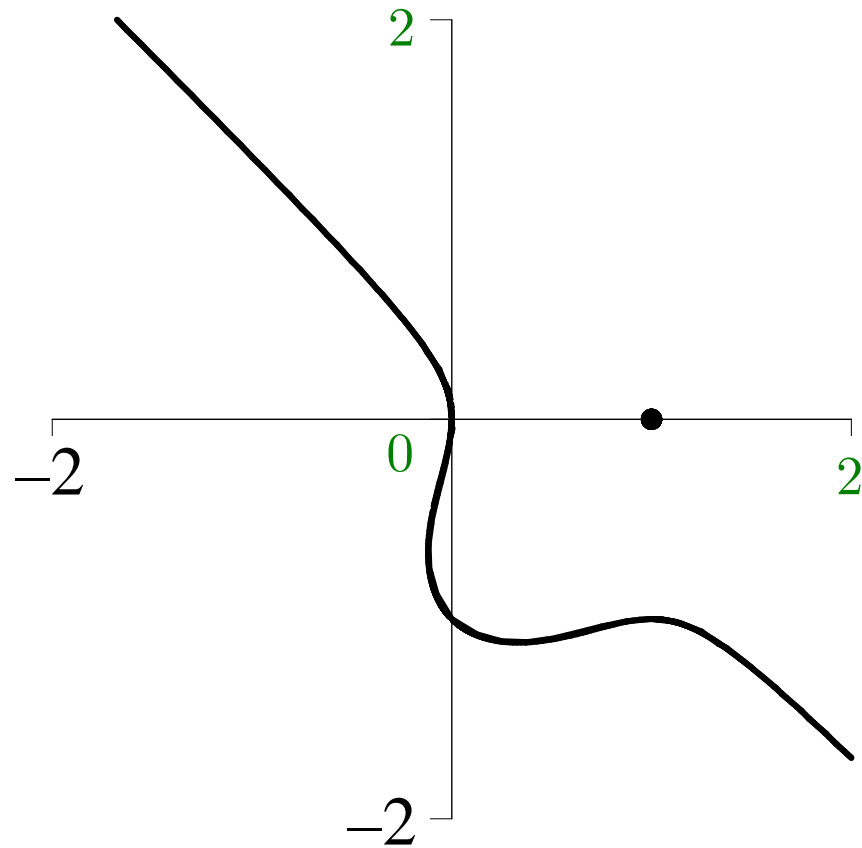


**Example 4.11.** Find all singular points of the curve with equation

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**Case 1,  $y = 0$ :** In this case

$$f(x, y) = x^3 - 2x^2 + x = x(x - 1)^2 = 0$$

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**Case 2,  $y = -2/3$ :** In this case  $f_x = 0$  if and only if  $x = 1$  or  $1/3$ .

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The curve has one singular point  $(1, 0)$ .

# Multiplicity

**Definition 4.12.** Let  $C$  be a curve with equation  $f = 0$ . A point  $p = (x_0, y_0)$  of  $C$  has **multiplicity**  $r$  if

1. 
$$\begin{aligned} f(x_0, y_0) &= 0, \\ \frac{\partial f}{\partial x}(x_0, y_0) &= \frac{\partial f}{\partial y}(x_0, y_0) = 0, \\ &\vdots \\ \frac{\partial^{r-1} f}{\partial x^{r-1}}(x_0, y_0) &= \frac{\partial^{r-1} f}{\partial x^{r-2} \partial y}(x_0, y_0) = \dots = \frac{\partial^{r-1} f}{\partial x \partial y^{r-2}}(x_0, y_0) = \frac{\partial^{r-1} f}{\partial y^{r-1}}(x_0, y_0) = 0 \end{aligned}$$
and

2. 
$$\frac{\partial^r f}{\partial x^{r-j} \partial y^j}(x_0, y_0) \neq 0, \quad \text{for some } j \text{ with } 0 \leq j \leq r.$$

## Simple, double, ...

**Definition 4.13.** A point of  $C$  of multiplicity 1 is called **non-singular**. A point of multiplicity greater than 1 is called **singular**.

1. Points of multiplicity 1 are called **simple** points.
2. Points of multiplicity 2 are called **double** points.
3. Points of multiplicity 3 are called **triple** points.
4. Points of multiplicity  $r$  are called  **$r$ -tuple** points.

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**Example 4.14.** Find the multiplicity of each singular point of the curve with equation

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As  $f_{xx}(1, 0) = 2 \neq 0$  it follows that  $(1, 0)$  is a double point.

# Tangents

Let  $p = (x_0, y_0)$  be a point on the curve  $C$  of degree  $d$  with equation  $f = 0$ .



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$$F_t(\alpha, \beta) = \sum_{j=0}^t \binom{t}{j} \alpha^{t-j} \beta^j \frac{\partial^t f}{\partial x^{t-j} \partial y^j}(x_0, y_0), \quad \text{for } t > 0. \quad (4.1)$$

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Then  $F_t$  is either zero or homogeneous of degree  $t$ .

A line  $l$  through  $p$  with direction ratio  $(a : b)$  has parametric form  $(x_0 + as, y_0 + bs)$ .

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**Definition 4.16.** Let  $p = (x_0, y_0)$  be a point of multiplicity  $r$  on  $C$ .

The line  $l$  with parametric form  $(x_0 + as, y_0 + bs)$  is called a **tangent** to  $C$  at  $p$  if

$$F_r(a, b) = 0.$$

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As  $F_r$  is non-zero it is homogeneous of degree  $r$  and it follows, from Lemma 4.8, that there are at most  $r$  tangents at a point of multiplicity  $r$ .

**Example 4.17.** Find all tangents to the complex curve with equation

$$f(x, y) = x^3 + y^3 - 3xy$$

at the points  $(0, 0)$  and  $(3/2, 3/2)$ .



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Therefore  $(3/2, 3/2)$  is a simple point.

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As  $(1, 0)$  is a point of multiplicity 2 the tangents must have direction ratios  $(a : b)$  which are zeroes of

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so  $(a : b) = (i : 1)$  or  $(i : -1)$ .

The tangents at  $(1, 0)$  are therefore the lines

$$l_1 = \{(is + 1, s) | s \in k\} \quad \text{and} \quad l_2 = \{(is + 1, -s) | s \in k\}.$$

# Tangents and Intersection numbers

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Then  $I(p, f, l)$  is the highest power of  $s$  dividing  $\phi_{(a,b)}(s)$ .

That is

$$I(p, f, l) = m \quad \text{if and only if} \quad s^m \mid \phi_{(a,b)}(s) \quad \text{and} \quad s^{m+1} \nmid \phi_{(a,b)}(s).$$

From Theorem 4.6,

$$\phi_{(a,b)}(s) = \sum_{t=0}^d \frac{s^t}{t!} F_t(a, b),$$

where  $F_t(\alpha, \beta)$  is defined in (4.1).

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$$\phi_{(a,b)}(s) = \sum_{t=r}^d \frac{s^t}{t!} F_t(a, b).$$

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$$I(p, f, l) \geq r.$$

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$$I(p, f, l) > r \iff s^{r+1} \mid \phi_{(a,b)}(s)$$

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$$\begin{aligned} I(p, f, l) > r &\iff s^{r+1} | \phi_{(a,b)}(s) \\ &\iff F_r(a, b) = 0. \end{aligned}$$

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**Theorem 4.19.** *Let  $p$  be an  $r$ -tuple point of a curve  $C$ .*

*Then a line  $l$  is a tangent to  $C$  at  $p$  if and only if*

$$I(p, f, l) > r.$$

**Example 4.20.** As we saw in Example 4.17, the tangents to the curve with equation

$$f(x, y) = x^3 + y^3 - 3xy$$

at the point  $(0, 0)$  are the lines  $x = 0$  and  $y = 0$  with parametric forms  $(0, s)$  and  $(s, 0)$ , respectively.



## Multiplicity at $(0, 0)$

**Corollary 4.21.** *Let  $C$  be a curve with equation  $f = 0$  and assume that  $p = (0, 0)$  is a point of  $C$ .*

*Then  $p$  has multiplicity  $r$  on  $C$  if and only if the lowest order terms of  $f$  have degree  $r$ .*

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*In this case let  $G_r$  be the sum of lowest order terms of  $f$ .*

*Then a line  $l$  through  $p$  is tangent to  $C$  at  $p$  if and only if  $l$  has a parametric form  $(as, bs)$  where  $G_r(a, b) = 0$ .*

Proof. Write

$$f = G_0 + G_1 + \cdots + G_d,$$

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From Corollary 4.7, with  $(x_0, y_0) = (0, 0)$ , we see that

$$G_t(x, y) = \frac{1}{t!} F_t(x, y),$$

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This proves the first statement. The second follows similarly.

### Example 4.22.

Let  $C$  be the curve with polynomial  $f = (x^2 + y^2)^2 + 3x^2y - y^3$ .

The point  $(0, 0)$  belongs to  $C$  and the sum of lowest order terms of  $f$  is  $3x^2y - y^3$ .

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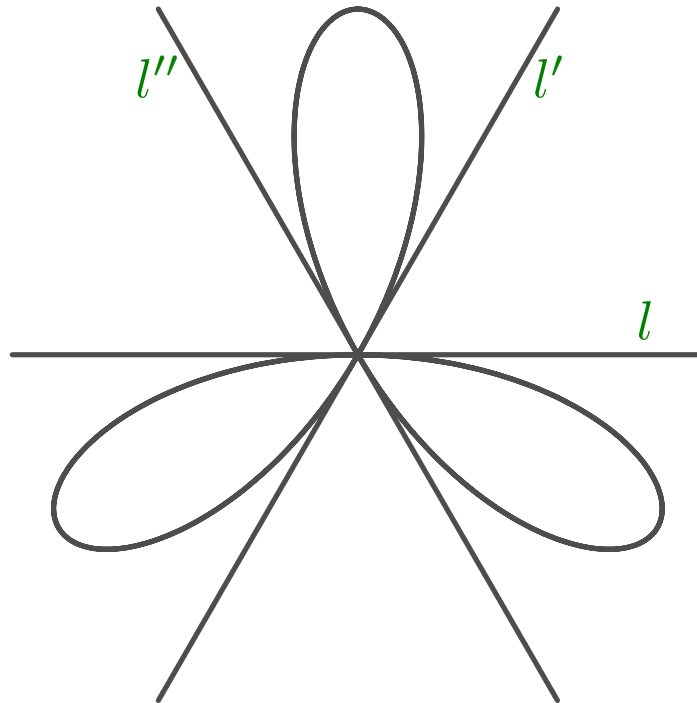
When  $3a^2 - b^2 = 0$  we may assume  $a = 1$  and so  $b = \pm\sqrt{3}$ .

In this case we obtain two tangents  $l'$  and  $l''$  with parametric forms

$$(s, s\sqrt{3}) \quad \text{and} \quad (s, -s\sqrt{3}),$$

respectively.

The real curve  $(x^2 + y^2)^2 + 3x^2y - y^3 = 0$



# Ratios

A **ratio**, over  $k$ , is an  $n$ -tuple

$$(a_1 : \dots : a_n)$$

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Two ratios  $(a_1 : \dots : a_n)$  and  $(b_1 : \dots : b_n)$  are equal if there exists a non-zero element  $\lambda \in k$  with

$$a_1 = \lambda b_1, a_2 = \lambda b_2, \dots, a_n = \lambda b_n.$$

# Lines in the affine plane

In  $\mathbb{A}_2(k)$  a point is represented by an ordered pair  $(u, v)$ .



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Lines may be parallel: two distinct lines are parallel if and only if their direction ratios are equal.

## Homogeneous coordinates for $\mathbb{A}_2(k)$

To extend the affine plane to a plane in which any two lines do meet at a unique point we first replace Cartesian coordinates with a new coordinate system.

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**Definition 5.1.** The point  $(u, v)$  of  $\mathbb{A}_2(k)$  has **homogeneous coordinates**

$$(U : V : W), \quad \text{where } W \neq 0 \quad \text{and} \quad u = \frac{U}{W}, v = \frac{V}{W}.$$

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**Example 5.2.** The coordinates  $(1 + i : 2 + i : 3)$  and  $(3 + i : 5 : 6 - 3i)$  in  $\mathbb{A}_2(\mathbb{C})$ .

## Extension to points with third coordinate zero

We now extend the plane by allowing points with homogeneous coordinates  $(U : V : W)$ , where  $W = 0$ .

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Thus  $(1 : 2 : 0)$  and  $(0 : 5 : 0)$  are points of the extended plane.

**Definition 5.3. Projective  $n$ -space** over  $k$ , denoted  $\mathbb{P}_n(k)$ , is the set of non-zero ratios

$$(a_1 : \dots : a_{n+1}), \quad \text{where } a_i \in k.$$

Elements of  $\mathbb{P}_n(k)$  are called **points** of  $\mathbb{P}_n(k)$ .

# The projective plane

The extended plane  $\mathbb{P}_2(k)$  consists of

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# Vector notation

In the projective plane, as in the affine plane

$$(u : v : w) = (\lambda u : \lambda v : \lambda w), \quad \text{for all non-zero } \lambda \in k.$$

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Given a fixed non-zero triple  $(u, v, w)$  the set

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Therefore there is a one to one correspondence between points of  $\mathbb{P}_2(k)$  and one-dimensional vector subspaces of  $k^3$ :

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$$(u : v : w) \text{ corresponds to } \langle (u, v, w) \rangle.$$

A similar statement holds for points of  $\mathbb{P}_n(k)$ , for any  $n \geq 1$ .



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**Definition 5.4.** Suppose  $(A, B, C) \neq (0, 0, 0)$ . The **projective line** with equation

$$Ax + By + Cz = 0$$

is the set of points

$$(u : v : w) \in \mathbb{P}_2(k) \quad \text{such that} \quad Au + Bv + Cw = 0.$$

## Two points determine a line

**Lemma 5.5.** *Two distinct points  $p$  and  $q$  of  $\mathbb{P}_2(k)$  lie on a unique line.*

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That is

$$\begin{vmatrix} x & y & z \\ a & b & c \\ u & v & w \end{vmatrix} = 0. \quad (5.3)$$

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There are no parallel lines in  $\mathbb{P}_2(k)$

## Parametric form of a projective line

Let  $l$  be a line in  $\mathbb{P}_2(k)$  through the points  $(a : b : c)$  and  $(u : v : w)$ .

Then  $l$  has equation given by (5.3) above.

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$(x_0 : y_0 : z_0) \in l$  if and only if the vector  $(x_0, y_0, z_0) \in k^3$  is a linear combination of the vectors  $(a, b, c)$  and  $(u, v, w)$ :

otherwise the matrix in (5.3) will have non-zero determinant.



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That is,  $(x_0 : y_0 : z_0)$  is a point of  $l$  if and only if

$$(x_0, y_0, z_0) = (as + ut, bs + vt, cs + wt), \quad \text{for some } s, t \in k.$$

Therefore

$$l = \{(x : y : z) \in \mathbb{P}_2(k) \mid (x, y, z) = (as + ut, bs + vt, cs + wt), \text{ with } s, t \in k\} \quad (5.4)$$

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The expression (5.4) is called the **parametric form** of the line  $l$ .

As in the affine case we'll say that  $l$  has parametric form

$$(as + ut : bs + vt : cs + wt), \quad \text{for } s, t \in k$$

when the meaning is clear.

# Homogeneous polynomials

**Definition 5.7.** A linear combination of monomials of degree  $d \geq 0$ , with at least one non-zero coefficient, is called a **homogeneous polynomial of degree  $d$** .

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**Theorem 5.8.** A polynomial  $f \in k[x_1, \dots, x_n]$  is homogeneous of degree  $d$  if and only if  $f(tx_1, \dots, tx_n) = t^d f(x_1, \dots, x_n)$ , for all  $t \in k$ .

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**Definition 5.7.** A linear combination of monomials of degree  $d \geq 0$ , with at least one non-zero coefficient, is called a **homogeneous polynomial of degree  $d$** .

**Theorem 5.8.** A polynomial  $f \in k[x_1, \dots, x_n]$  is homogeneous of degree  $d$  if and only if  $f(tx_1, \dots, tx_n) = t^d f(x_1, \dots, x_n)$ , for all  $t \in k$ .

From the above it follows that if  $f(x, y, z)$  is homogeneous of degree  $d$  then  $f(a, b, c) = 0$  if and only if  $f(u, v, w) = 0$ , for all  $(u, v, w) \in k^3$  such that  $(a : b : c) = (u : v : w)$ .



# Projective curves

**Definition 5.9.** Let  $f$  be a homogeneous polynomial of degree  $d > 0$  in  $k[x, y, z]$ . The set

$$C_f = \{(a : b : c) \in \mathbb{P}_2(k) : f(a, b, c) = 0\}$$

is called a **projective curve** of **degree**  $d$  in  $\mathbb{P}_2(k)$ .

## Irreducible components

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If  $C_f$  is a projective curve and  $f$  has irreducible factorisation  $f = q_1 \cdots q_n$  then

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Note that a homogeneous polynomial of degree 1 defines what we called a line in definition 5.4.

That is, as in the affine plane, lines are curves of degree 1.

# Dehomogenization

Let  $F$  be a homogeneous polynomial of degree  $d$  in  $k[x, y, z]$ .

The **dehomogenization** of  $F$ , with respect to  $z = 1$ , is the polynomial

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If  $F \neq az^d$  then  $f$  is non-constant and if  $z \nmid F$  then  $f$  has degree  $d$ .



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If  $F \neq az^d$  then  $f$  is non-constant and if  $z \nmid F$  then  $f$  has degree  $d$ .

If the dehomogenization  $f$  of the polynomial  $F$  is non-constant then we call the affine curve  $C_f$  the **dehomogenization** of  $C_F$ , with respect to  $z = 1$ .

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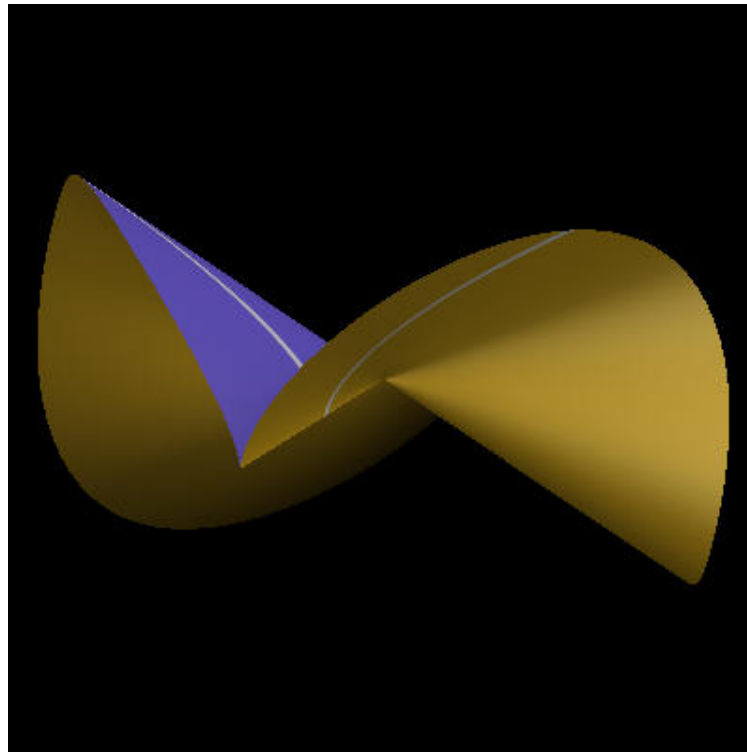
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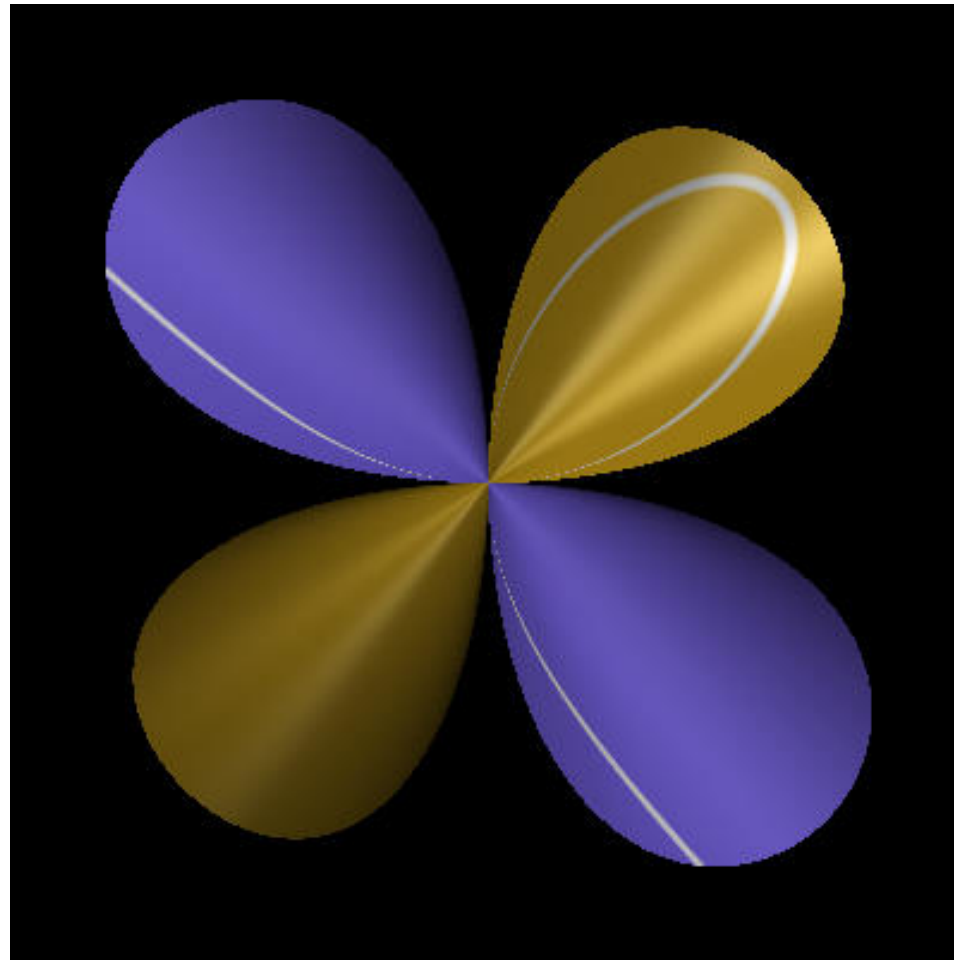
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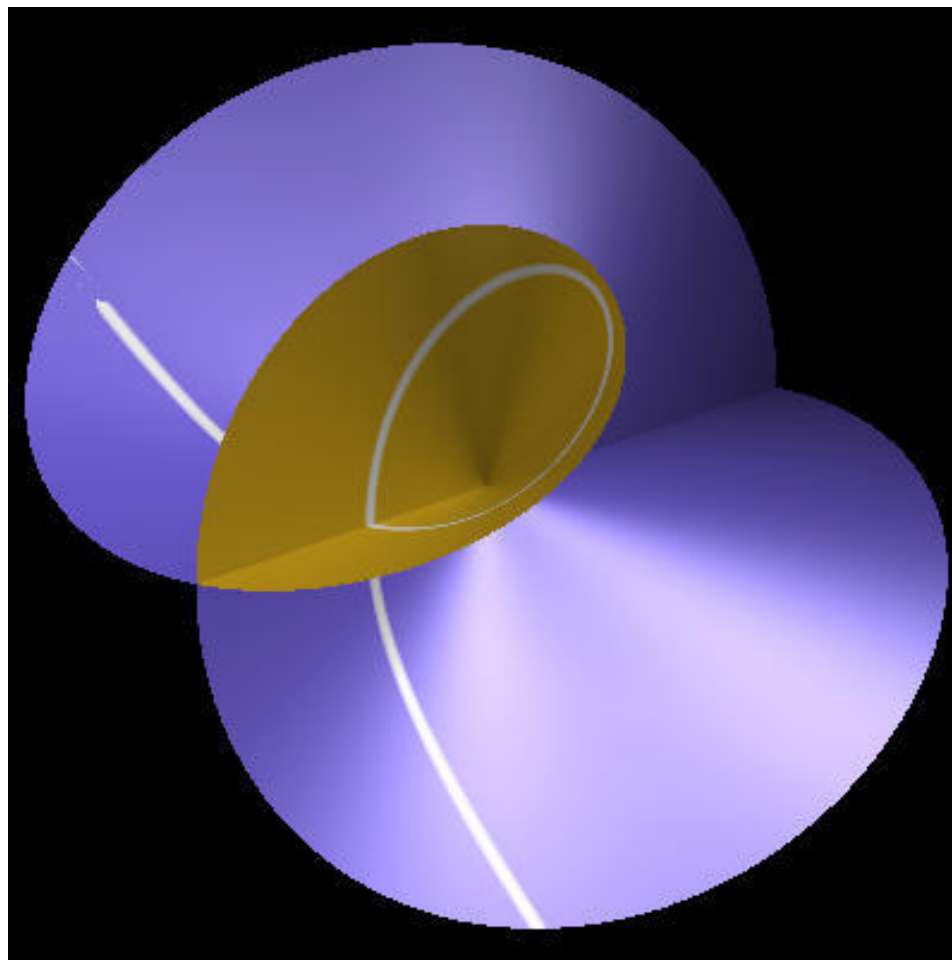
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In this drawing the  $z$  axis points straight up out of the page, whilst the  $x$  axis points to the left and the  $y$  axis points upwards in the plane of the page.



The next drawing is first rotated so that the  $z$  axis points out to the left and then its tilted towards you.





## The line at infinity

The only curves which do not have a dehomogenization are those with equation  $z^d = 0$ .

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1.  $w = 0$  and it lies on the line at infinity, or
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That is, the line at infinity consists of all the new points we added to  $\mathbb{A}_2(k)$  to form  $\mathbb{P}_2(k)$ .

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$$F(u/w, v/w, 1) = 0,$$

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In this case the point  $(u : v : w)$  is a point of the affine curve  $C_f$ .

Thus  $C_F$  consists of the points of  $C_f$  together with the points where  $C_F$  intersects the line at infinity.

Furthermore the polynomial  $F(x, y, 0)$  is homogeneous of degree  $d$  in two variables  $x, y$  or it is the zero polynomial.

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1.  $F(x, y, 0)$  is non-zero and the set  $C_F$  has at most  $d$  points on the line at infinity or
2.  $F(x, y, 0) = 0$  and the line at infinity is contained in  $C_F$ .

## Dehomogenisation with respect to $x$ and $y$

We also define the **dehomogenization** of  $F$  and  $C_F$  with respect to  $x = 1$ :

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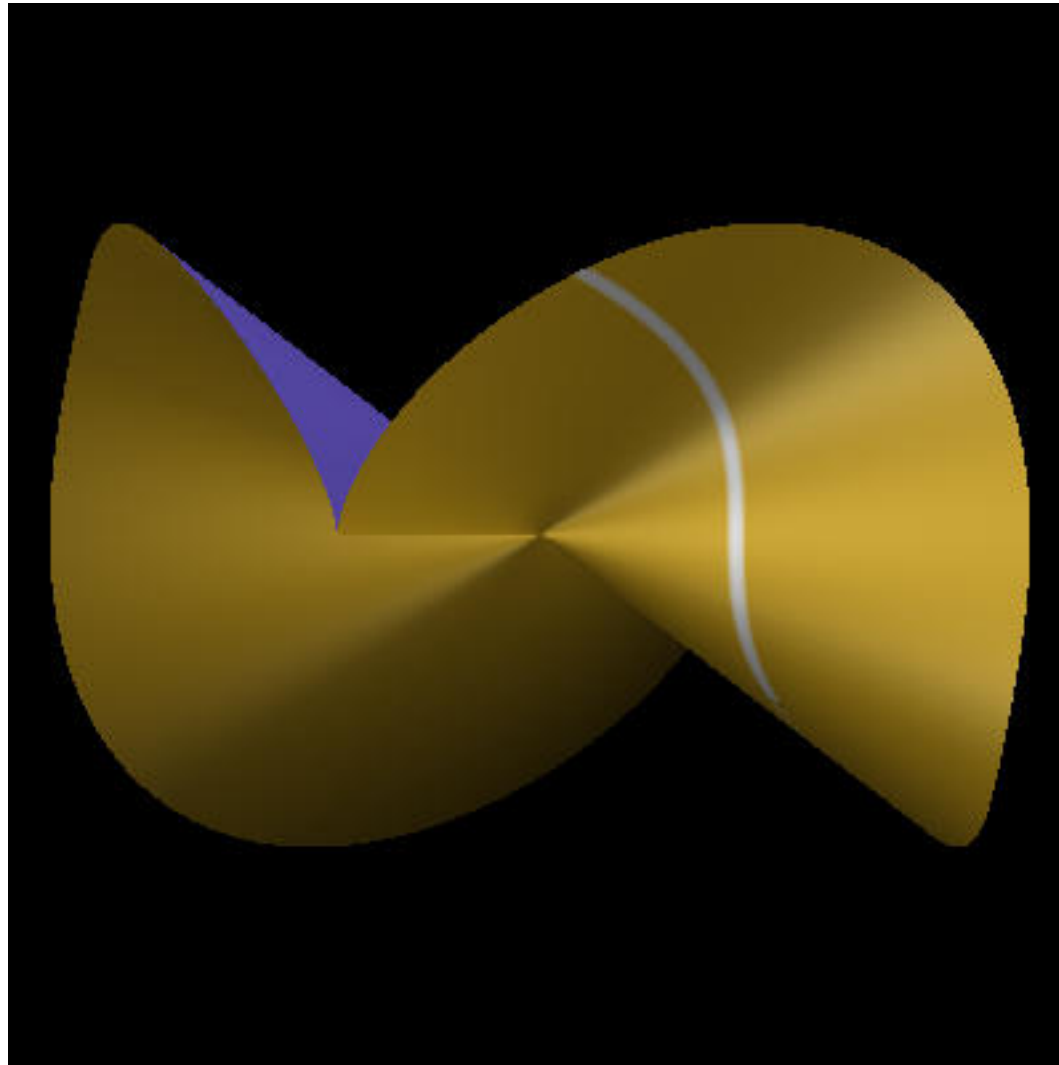
The lines  $x = 0$  and  $y = 0$  are called the **lines at infinity** with respect to  $x = 1$  and  $y = 1$ , respectively.



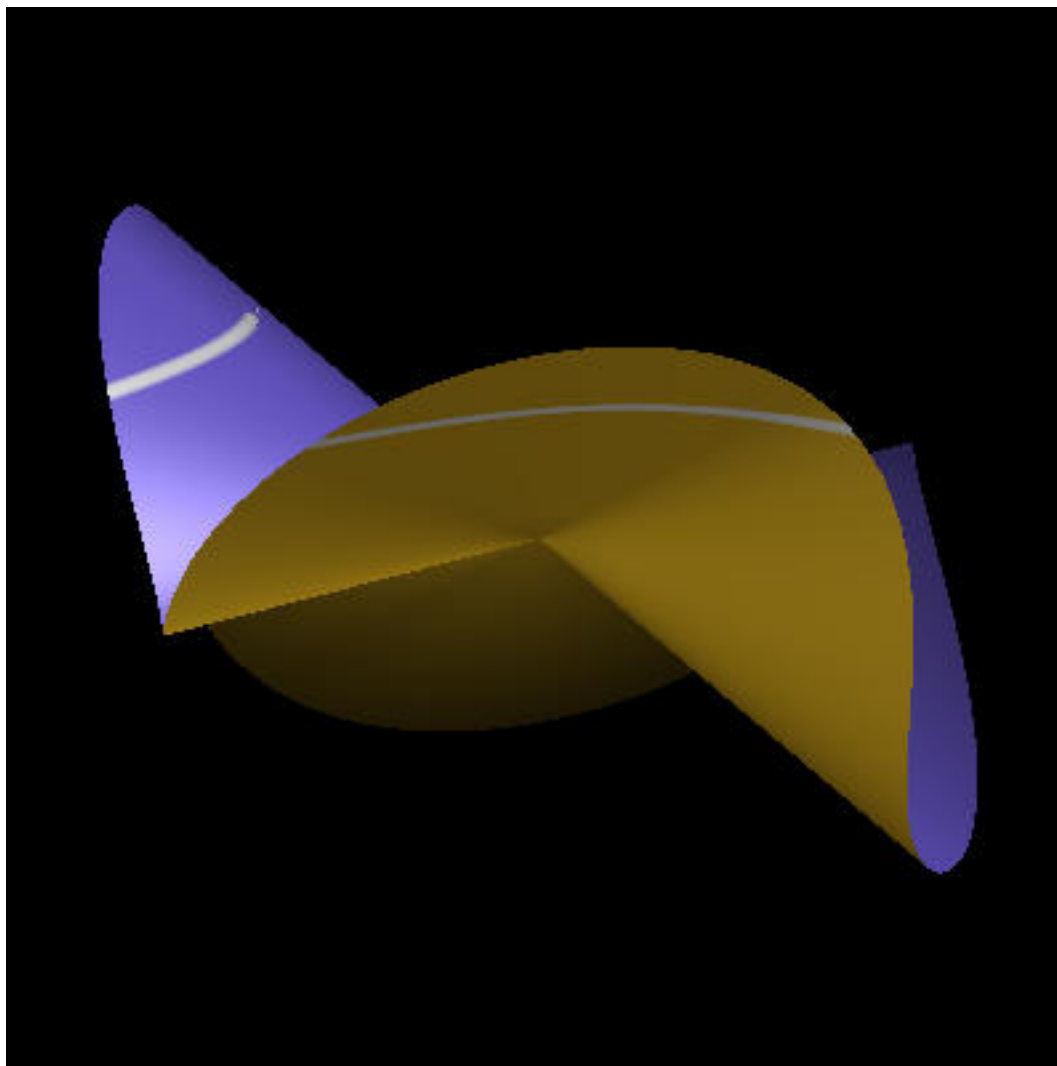
**Example 5.12.** The projective curve  $y^3 - x^2z = 0$  has dehomogenizations  $y^3 - z = 0$  and  $1 - x^2z = 0$  with respect to  $x = 1$  and  $y = 1$  respectively.

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# Homogenization

Let  $f$  be a polynomial of degree  $d$  in  $k[x, y]$ .

We form the **homogenization** of  $f$  by multiplying every term of degree  $d - k$  by  $z^k$ .

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The **homogenization** of the affine curve  $C_f$  is the projective curve  $C_F$ .

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All affine lines which are parallel have the same direction ratio and so meet  $z = 0$  at the same point.

# The homogenization of affine conics

## Example 5.14.

1. The affine parabola  $x - y^2 = 0$  has homogenization  $xz - y^2 = 0$ .

This curve meets  $z = 0$  when  $y^2 = 0$ : at the unique point  $(1 : 0 : 0)$ .

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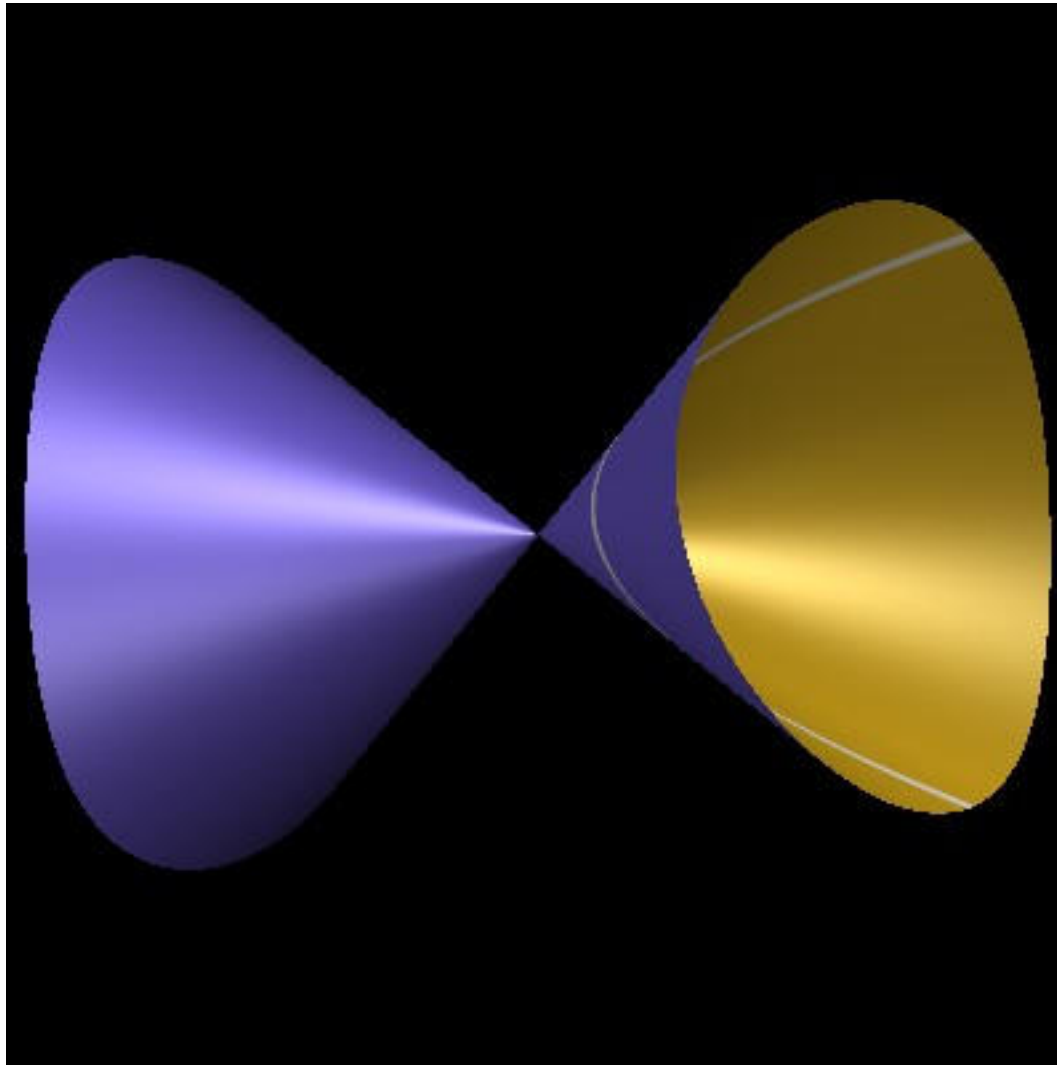
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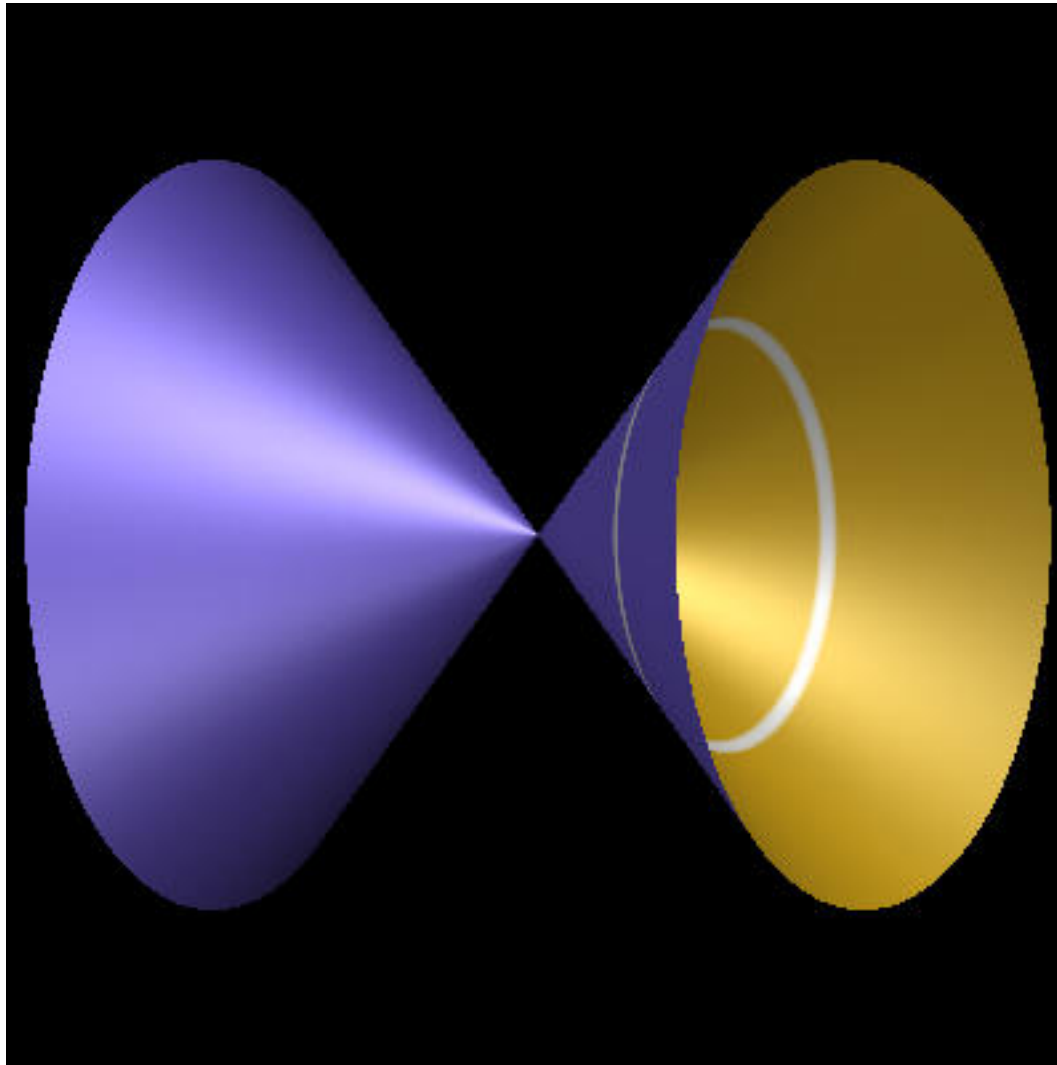
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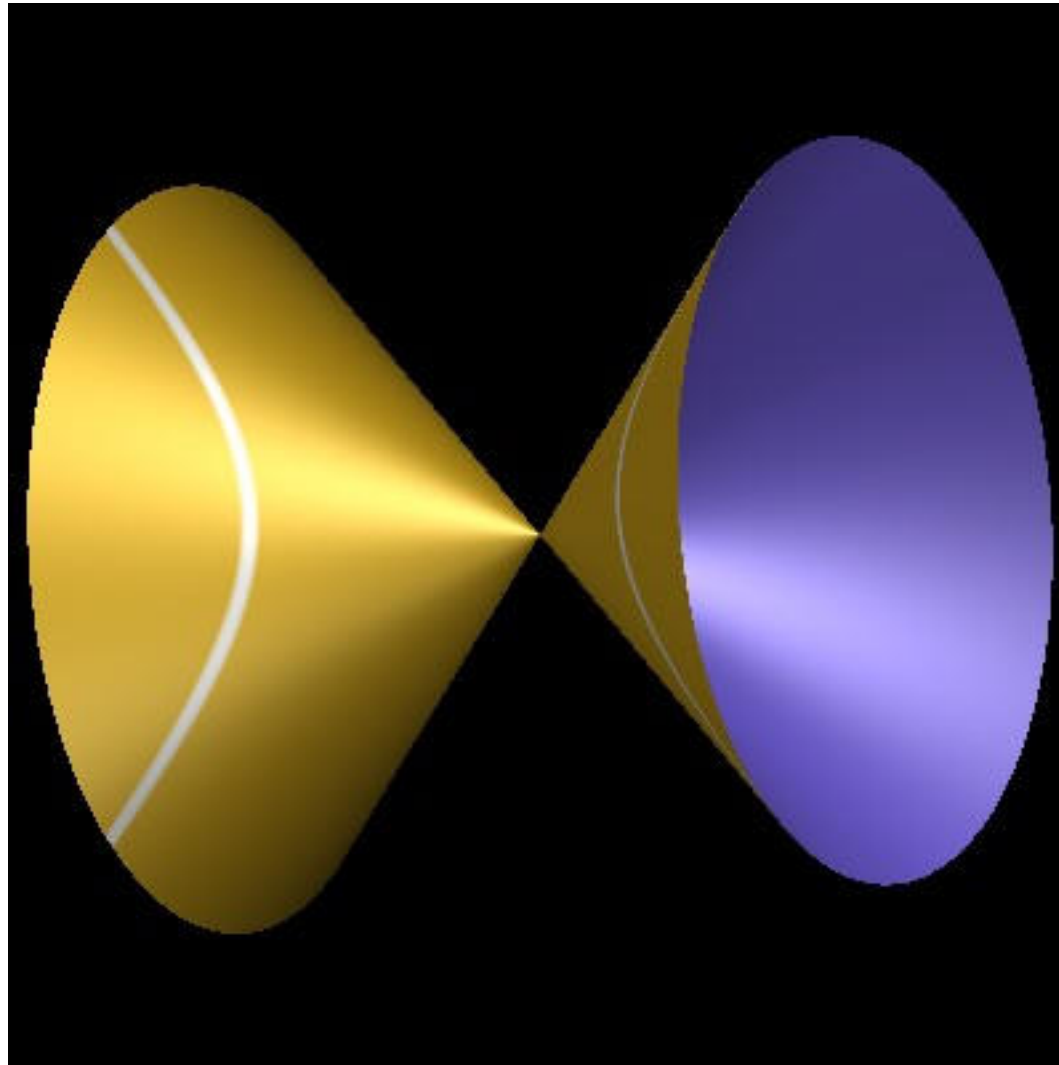
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The projective curve with equation  $xz - y^2 = 0$  and its dehomogenization with respect to  $z = 1$ .



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## Intersection of line and curve

Let  $l$  be a projective line with parametric form  $(as + ut : bs + vt : cs + wt)$ , for  $s, t \in k$  and let  $C = C_f$  be the projective curve with equation  $f = 0$ .

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A point  $p = (as_0 + ut_0 : bs_0 + vt_0 : cs_0 + wt_0)$  lies on  $l$  and  $C$  if and only if

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$$\phi(s, t) = f(as + ut, bs + vt, cs + wt)$$

an **intersection polynomial** of  $l$  and  $C$ .

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If  $p = (as_0 + ut_0 : bs_0 + vt_0 : cs_0 + wt_0) \in l$  the **intersection number**  $I(p, f, l)$  of  $C$  and  $l$  at  $p$  is the largest integer  $r$  such that  $(t_0s - s_0t)^r \mid \phi(s, t)$ .



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Intersection number is independant of choice of parametric form for  $l$ .

## Affine and projective intersection numbers

**Lemma 5.16.** *Given a projective curve  $C_F$  and projective line  $L$  let  $C_f$  and  $l$  be the dehomogenization of  $C_F$  and  $L$ , respectively, with respect to  $z = 1$ .*

*Let  $p = (u : v : 1) \in \mathbb{A}_2(k)$ . Then*

$$I(p, f, l) = I(p, F, L).$$

*Similar statements hold for dehomogenization with respect to  $x = 1$  or  $y = 1$  instead of  $z = 1$ .*

## Number of intersections: line and curve

A field which contains a copy of  $\mathbb{Z}_p$ , for some prime  $p$ , is said to have **characteristic**  $p$ .

A field containing  $\mathbb{Z}$  is said to have **characteristic**  $\infty$ .

**Lemma 5.17.** *Let  $C$  be a projective curve of degree  $d$  in  $\mathbb{P}_2(k)$ , with equation  $F = 0$ , where  $k$  is an algebraically closed field of characteristic greater than  $d$ .*

*Let  $l$  be a line such that  $l \not\subseteq C$ . Then*

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**Proof.** If  $l \not\subseteq C$  then  $\phi(s, t)$  is not the zero polynomial and so is homogeneous of degree  $d$ .

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**Proof.** If  $l \not\subseteq C$  then  $\phi(s, t)$  is not the zero polynomial and so is homogeneous of degree  $d$ .

Hence the result follows from the proof of Lemma 4.8 and the remark following Theorem 2.16.

# Multiplicity

**Definition 5.18.** Let  $p$  be a point of a projective curve  $C$  with equation  $f = 0$ . We say that  $p$  has **multiplicity**  $r$  (on  $C$ ) if

1. for all non-negative  $i, j, k$  such that  $i + j + k = r - 1$

$$\frac{\partial f}{\partial x^i y^j z^k}(a, b, c) = 0$$

and

2. for at least one triple of non-negative integers  $i, j, k$  with  $i + j + k = r$

$$\frac{\partial f}{\partial x^i y^j z^k}(a, b, c) \neq 0.$$

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The terms **singular**, **non-singular**, **simple**, **double**, **triple** and  **$r$ -tuple** are defined as in the affine case (see Definition 4.13).

**Example 5.19.** Let  $C$  be the projective curve with equation  $x^3 - yz^2 = 0$ . Find the multiplicity of all singular points of  $C$ .



# Tangents

**Definition 5.20.** Let  $p$  be an  $r$ -tuple point of a projective curve  $C$  with polynomial  $f$ . A line  $l$  through  $p$  is called **tangent** to  $C$  at  $p$  if  $I(p, f, l) > r$ .

# Tangents

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**Theorem 5.21.** Let  $C_F$  be a projective curve with equation  $F = 0$ , let  $f$  be the dehomogenization of  $F$  (with respect to  $z = 1$ ) and let  $C_f$  be the affine curve with equation  $f = 0$ .

Suppose that  $p = (u : v : 1)$  is a point of  $\mathbb{P}_2(k)$ .

Then  $p$  has multiplicity  $r$  on  $C_F$  if and only if  $p$  has multiplicity  $r$  on  $C_f$ .

Furthermore, the projective line  $L$  is tangent to  $C_F$  at  $p$  if and only if the affine line  $l$  is tangent to  $C_f$  at  $p$ , where  $l$  is the dehomogenization of  $L$ .

Similar statements hold for dehomogenization with respect to  $x = 1$  or  $y = 1$ .

**Example 5.22.** Let  $C$  be the curve with equation  $x^3 - yz^2 = 0$ , as in the previous example.

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**Example 5.23.** Find the tangents to the curve  $y^3 - xz$  at the points  $(1 : 0 : 0)$  and  $(0 : 0 : 1)$ .

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**Example 5.24.** Find all singular points of the curve  $x^3 + y^3 - 3xyz = 0$ . Find the multiplicity of each singular point and its tangents.

## Tangent to a simple point

**Corollary 5.25.** *A line  $l$  is tangent to a non-singular point  $p = (a : b : c)$  of a projective curve  $C_F$  if and only if  $l$  has equation*

$$xF_x(a, b, c) + yF_y(a, b, c) + zF_z(a, b, c) = 0.$$

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**Example 5.26.**

## Proof of Theorem 5.21

**Lemma 5.27.** *Let  $F(x, y, z)$  be a homogeneous polynomial of degree  $d$  and let  $f$  be the dehomogenization of  $F$  with respect to  $z = 1$ . Then*

1.  $F_x$  is either zero or homogeneous of degree  $d - 1$  and
2.  $F_x(x, y, 1) = f_x(x, y)$ .

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**Corollary 5.28.**

1.  $F_{x^i y^j z^k}$  is either zero or homogeneous of degree  $d - (i + j + k)$  and
2.  $F_{x^i y^j}(x, y, 1) = f_{x^i y^j}(x, y)$ .

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**Theorem 5.29 (Euler's Theorem).** *Let  $F(x, y, z)$  be a homogeneous polynomial of degree  $m$ . Then*

$$mF(x, y, z) = xF_x(x, y, z) + yF_y(x, y, z) + zF_z(x, y, z).$$

## Proof of Theorem 5.21 continued

We shall prove here that  $p = (u : v : 1)$  is a singular point of  $C_F$  if and only if it is a singular point of  $C_f$ .

The full statement follows from this using an obvious induction and Corollary 5.28: see the exercises.

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By definition  $p$  is a singular point of  $C_F$  if and only if

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The statement concerning tangents follows from Lemma 5.16 and Theorem 4.19.



# Asymptotes

**Definition 5.30.** Let  $C_f$  be an affine curve and let  $F$  be the homogenization of  $f$ .

Let  $L$  be a projective line tangent to  $C_F$  at some point  $p$  on the line  $z = 0$ .

If  $L$  is not itself the line  $z = 0$  then the dehomogenization  $l$  of  $L$  is called an **asymptote** to  $C_f$ .

# Asymptotes

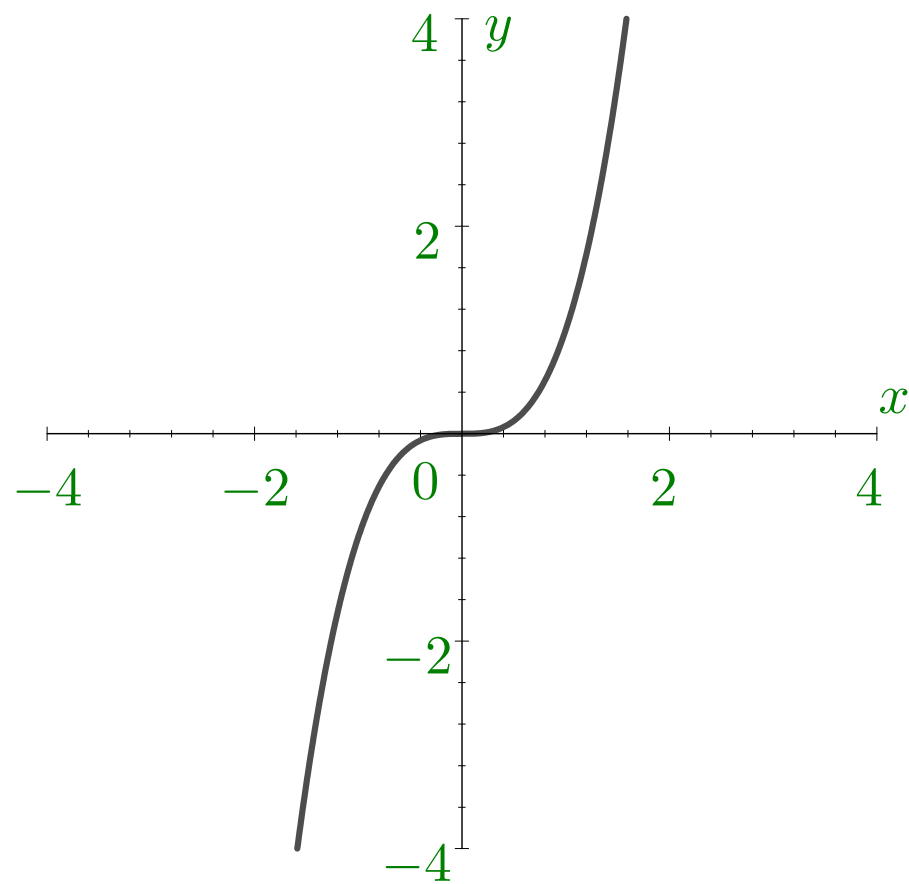
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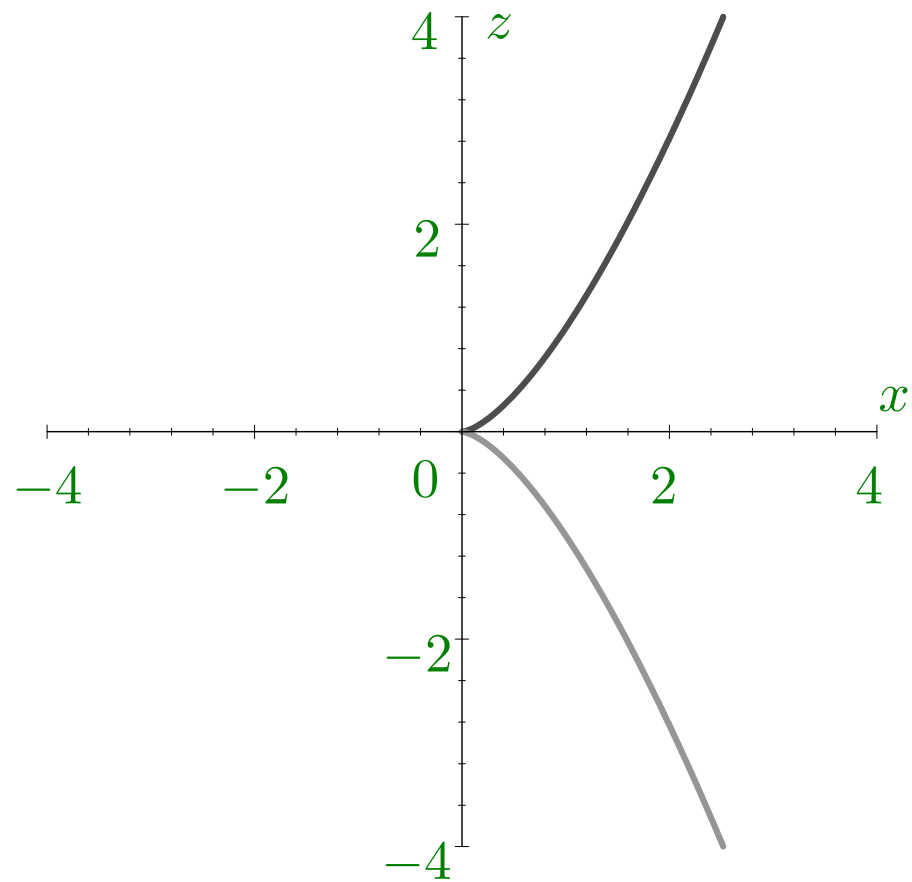
If  $L$  is not itself the line  $z = 0$  then the dehomogenization  $l$  of  $L$  is called an **asymptote** to  $C_f$ .

**Example 5.32.** Let  $f = x^3 - y$  and so  $F = x^3 - yz^2$ .

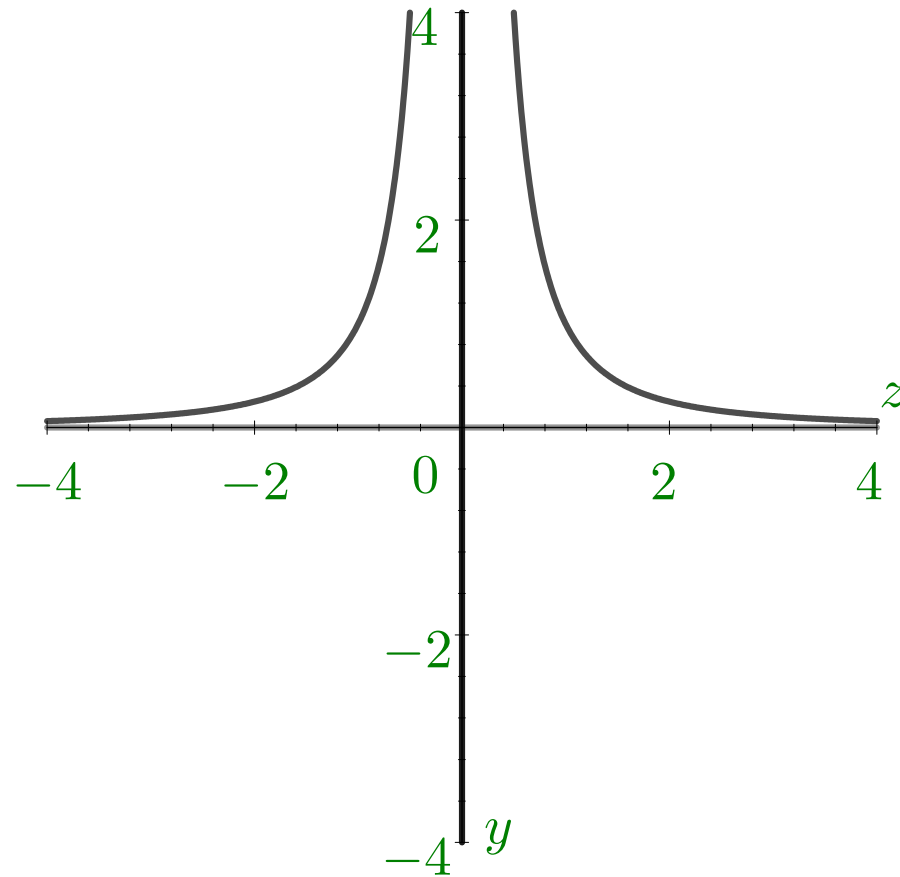
The real curve with equation  $x^3 - y = 0$



The real curve with equation  $x^3 - z^2 = 0$



The real curve with equation  $1 - yz^2 = 0$  and its asymptotes  
 $y = 0$  and  $z = 0$



# Bézout's Theorem

**Theorem 6.1.** *If  $C$  and  $D$  are projective curves then  $C$  and  $D$  meet in at least one point.*

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**Theorem 6.2 (Weak form of Bézout's Theorem).** *Let  $C$  and  $D$  be two projective curves of degrees  $m$  and  $n$ , respectively. If  $C$  and  $D$  have no common component then their intersection  $C \cap D$  contains at most  $mn$  points.*

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**Corollary 6.3.**

- 1. A non-singular projective curve is irreducible.*
- 2. An irreducible projective curve has finitely many singular points.*



## Inflexions

**Definition 7.1.** A point  $p$  of a projective curve  $C_F$  is called an **inflexion** if

1.  $p$  is non-singular and
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**Example 7.2.** Let  $F$  be the polynomial  $y^3 - xz^2$  and  $C$  the curve with polynomial  $F$ .

# The Hessian

**Definition 7.3.** Let  $F$  be a non-constant homogeneous polynomial. The **Hessian** of  $F$  is

$$H_F = \begin{vmatrix} F_{xx} & F_{xy} & F_{xz} \\ F_{yx} & F_{yy} & F_{yz} \\ F_{zx} & F_{zy} & F_{zz} \end{vmatrix}.$$

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Note that if  $F$  has degree  $d \geq 2$  then  $H_F$  is a homogeneous polynomial of degree  $3(d - 2)$ .

# The affine version of the Hessian

**Lemma 7.4.** *Suppose  $F$  has degree  $d \geq 1$ . Then*

$$z^2 H_F = (d-1)^2 \begin{vmatrix} F_{xx} & F_{xy} & F_x \\ F_{yx} & F_{yy} & F_y \\ F_x & F_y & \left(\frac{d}{d-1}\right) F \end{vmatrix}.$$

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**Proof.** Multiply row 3 of the matrix in the definition of  $H_F$  by  $z$ . Then multiply column 3 by  $z$ . The result is

$$z^2 H_F = \begin{vmatrix} F_{xx} & F_{xy} & zF_{xy} \\ F_{yx} & F_{yy} & zF_{yz} \\ zF_{zx} & zF_{zy} & z^2 F_{zz} \end{vmatrix}.$$

Now add  $x \cdot (\text{row 1}) + y \cdot (\text{row 2})$  to row 3.

Euler's Theorem for the degree  $d - 1$  polynomial  $F_x$  is

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Adding  $x \cdot (\text{column 1}) + y \cdot (\text{column 2})$  to column 3, and using Euler's theorem again, gives the required result.

## Inflexions and the Hessian

**Theorem 7.5.** *Let  $F$  have degree at least 2. A point  $p = (u : v : w)$  of the curve  $C_F$  is an inflexion if and only if*

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**Proof.** Assume that  $p$  has coordinates  $(u : v : 1)$ . (The other cases follow using a similar argument.)

Define  $f(x, y) = F(x, y, 1)$  and let  $q = (u, v)$ , so  $q \in C_f$ .

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Define  $f(x, y) = F(x, y, 1)$  and let  $q = (u, v)$ , so  $q \in C_f$ .

Then from Theorem 5.21 and Lemma 5.16 it follows that  $p$  is an inflexion of  $C_F$  if and only if  $q$  is a non-singular point of  $C_f$  and the tangent  $l$  to  $C_f$  at  $q$  satisfies  $I(q, f, l) \geq 3$ .

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It therefore suffices to show that, given  $q$  is non-singular, then  $I(q, f, l) \geq 3$  if and only if  $H_F(u, v, 1) = 0$ .

Write  $f_x = f_x(u, v)$  and  $f_y = f_y(u, v)$  and similarly for higher order derivatives.

Then, using Definition 4.16, the tangent  $l$  to  $C_f$  at  $q$  is the line with parametric form  $(as + u, bs + v)$ ,  $s \in k$ , where

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Now  $I(q, f, l)$  is the largest integer  $r$  such that  $s^r | f(as + u, bs + v)$  and

$$\begin{aligned} f(as + u, bs + v) &= f(u, v) \\ &+ s(af_x + bf_y) \\ &+ \frac{s^2}{2!}(a^2 f_{xx} + 2abf_{xy} + b^2 f_{yy}) + s^3 R(s), \end{aligned}$$

where  $R(s)$  is a polynomial.



As  $q \in C_f$  so  $f(u, v) = 0$  and we have

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Thus

$$I(q, f, l) \geq 3 \quad \text{if and only if} \quad a^2 f_{xx} + 2ab f_{xy} + b^2 f_{yy} = 0. \quad (7.1)$$

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$$H_F(u, v, 1) = (d - 1)^2 \begin{vmatrix} F_{xx} & F_{xy} & F_x \\ F_{yx} & F_{yy} & F_y \\ F_x & F_y & 0 \end{vmatrix}.$$

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Furthermore  $F_x(u, v, 1) = f_x(u, v)$  and similarly for all the other partial derivatives

(of first and higher orders).

Thus

$$\begin{aligned} H_F(u, v, 1) &= (d-1)^2 \begin{vmatrix} f_{xx} & f_{xy} & f_x \\ f_{yx} & f_{yy} & f_y \\ f_x & f_y & 0 \end{vmatrix} \\ &= (d-1)^2 [-f_x^2 f_{yy} + 2f_x f_y f_{xy} - f_y^2 f_{xx}] \end{aligned}$$

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Thus  $p$  is an inflexion if and only if  $q$  is non-singular and  $I(q, f, l) \geq 3$  which is true if and only if  $p$  is non-singular and  $H_F(u, v, 1) = 0$ .

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This completes the proof of the Theorem.

**Example 7.6.** Find all the inflexions of  $C_F$ , where  $F = x^3 + y^3 - 3xyz$ .

# Cubics and lines

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**Theorem 8.1.** *Let  $C$  be a non-singular projective cubic with equation  $F = 0$  and let  $l$  be a line. Then the intersection of  $l$  and  $C$  consists of either*

- 1. 3 distinct points  $p_1, p_2$  and  $p_3$  with  $I(p_i, F, l) = 1$ , for  $i = 1, 2, 3$ , so that  $l$  is not tangent to  $C$  at  $p_i$ ; or*
- 2. 2 distinct points  $p_1$  and  $p_2$  with  $I(p_1, F, l) = 1$  and  $I(p_2, F, l) = 2$  so that  $l$  is tangent to  $C$  at  $p_2$  but not at  $p_1$ ; or*
- 3. 1 point  $p$  with  $I(p, F, l) = 3$  so  $l$  is tangent to  $C$  at  $p$  and  $p$  is an inflexion.*

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**Proof.** This follows from Lemma 5.17.

# The group law on the cubic

The line through  $A$  and  $B$  is  $AB$ .

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**Definition 8.3.** Given points  $P, Q \in \mathcal{C}$  we define a point  $P + Q$  of  $\mathcal{C}$  as follows. First let  $X$  be the third point of intersection of  $PQ$  with  $\mathcal{C}$ . Now set  $P + Q = \overline{X}$ .

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**Theorem 8.4.** *The set of points of  $\mathcal{C}$  with the operation of addition defined above forms an Abelian group.*

## Proof of Theorem 8.4

It follows from Theorem 8.1 that  $P + Q$  is a unique point of  $\mathcal{C}$ .

Therefore the given operation of addition is a binary operation on the set of points of  $\mathcal{C}$ .

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Similarly  $O + P = P$ , so  $O$  is the identity as claimed.

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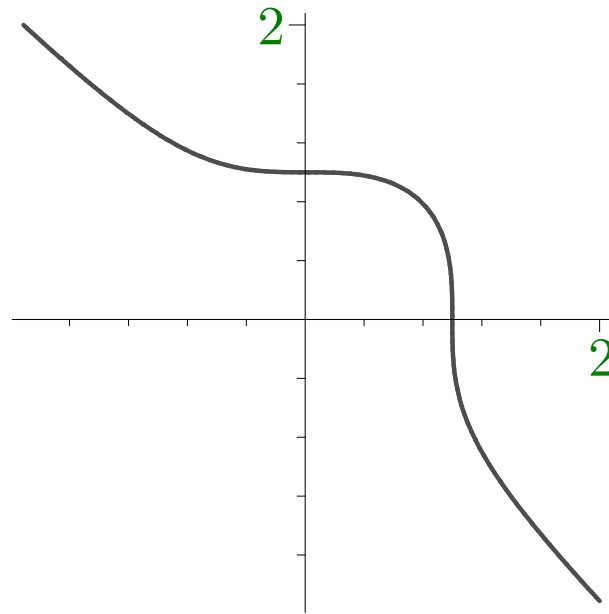
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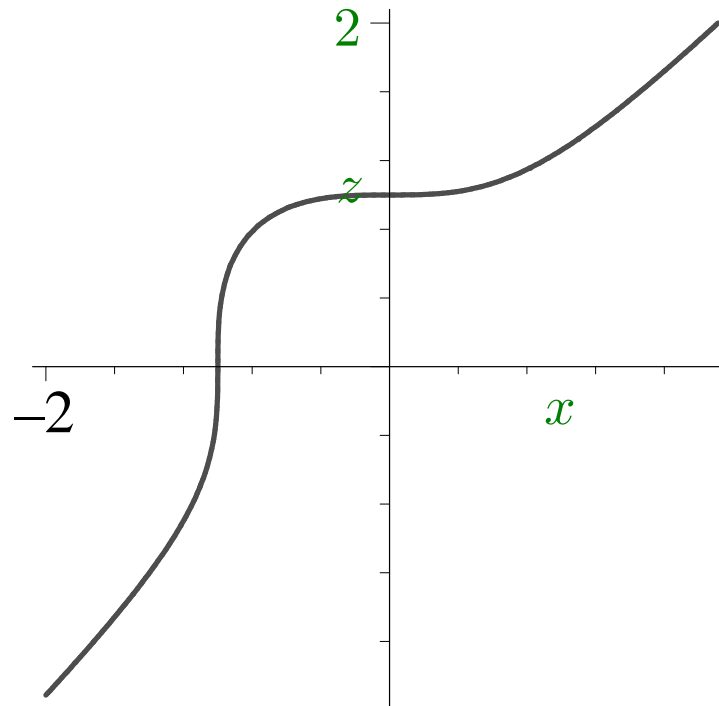
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$$P = (1 : 0 : \omega) \text{ and } Q = (1 : -\omega^2 : 0).$$

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Thus  $\phi(s, t) = 0$  if  $s = 0$ ,  $t = 0$  or  $s + t = 0$ .

The zeros  $s = 0$  and  $t = 0$  correspond to  $P$  and  $Q$ .

The third point of intersection of  $PQ$  with  $\mathcal{C}$  is  $X$ , corresponding to  $s + t = 0$  so

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This line meets  $\mathcal{C}$  at  $O$ ,  $X$  and  $\bar{X} = (0 : 1 : \omega)$ . Hence

$$P + Q = (0 : 1 : \omega).$$